

# DYNAMICS OF GALAXIES

## Restricted three-body problem

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# Fundamentals

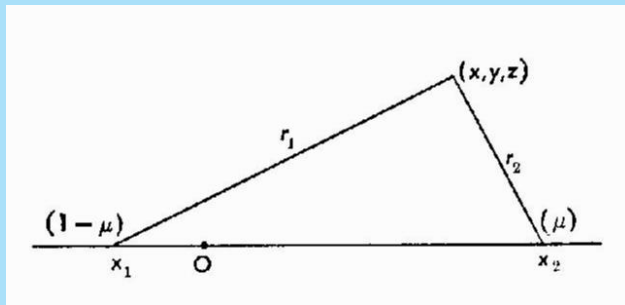
The **restricted three-body problem** concerns the case where two bodies with masses  $M_1$  and  $M_2$  revolve around each other in **circular** orbits with a third body with **negligible mass** in this force field.

Recall that in a **rotating frame** we have a **modified potential**

$$\Phi_{\text{eff}} = \Phi + \frac{L_z^2}{2R^2} = \Phi + \frac{1}{2}\omega^2 R^2$$

Here  $\omega$  is the angular velocity of the rotating coordinate system.

The geometry is as follows.



So we have the **center of gravity** at the origin and two bodies with masses  $M_1 = M(1-\mu)$  and  $M_2 = M\mu$ , where we assume  $\mu < 0.5$ , and  $x_1 = -X\mu$  and  $x_2 = X(1-\mu)$ .

For clarity, the distances  $r_1$  and  $r_2$  are those in three-dimensions, not projections onto the  $(x,y)$ -plane.

Now the **total energy** of the third body is

$$E = \frac{1}{2}v^2 - \frac{GM(1-\mu)}{r_1} - \frac{GM\mu}{r_2} - \frac{1}{2}\omega^2 R^2$$

So

$$v^2 = \omega^2(x^2 + y^2) + \frac{2GM(1-\mu)}{r_1} + \frac{2GM\mu}{r_2} - E$$

Now from the **two-body problem** we know that the objects move in elliptical orbits with **Kepler's third law**:

$$\frac{T^2}{a^3} = \frac{4\pi^2}{G(M_1 + M_2)}$$

We have circular orbits, so the angular velocity is

$$\omega^2 = \left(\frac{2\pi}{T}\right)^2 = \frac{G(M_1 + M_2)}{r^3}$$

Now let us take **unit of distance**  $r = X = -x_1 + x_2 = 1$ , **unit of mass**  $M = M_1 + M_2 = 1$  and **unit of time** such that  $G = 1$ . Then  $\omega = 1$ .

Then we have

$$v^2 = (x^2 + y^2) + \frac{2(1 - \mu)}{r_1} + \frac{\mu}{r_2} - E$$

Now look at the surface with  $v = 0$ , where all energy is **potential energy**:

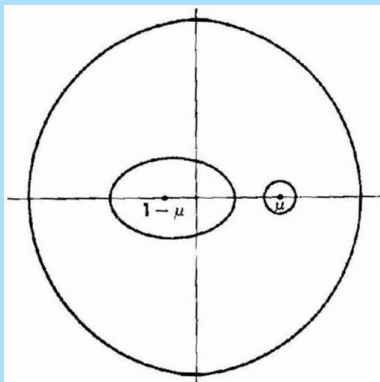
$$(x^2 + y^2) + \frac{2(1 - \mu)}{r_1} + \frac{\mu}{r_2} = E \geq 0$$

For each  $E$  these are curves outside which  $v^2$  becomes **negative**, so the third body cannot go there with this  $E$ .

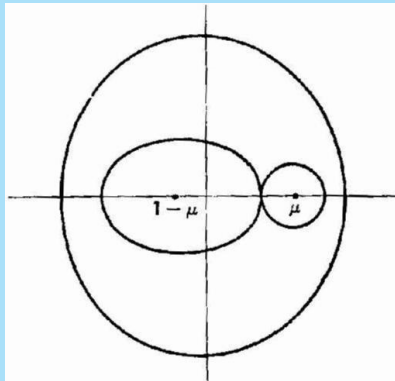
Now look at these surface first in the  $(x,y)$ -plane.



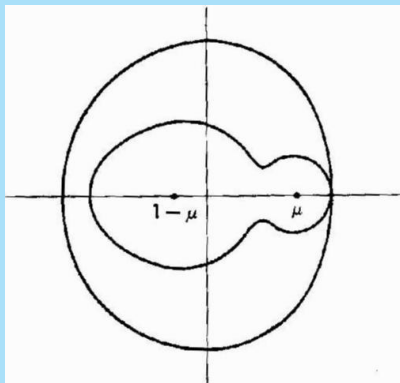
Now first look for **very large  $E$** ; then either  $x^2 + y^2$  is very large or  $r_1$  is very small or  $r_2$  is very small.



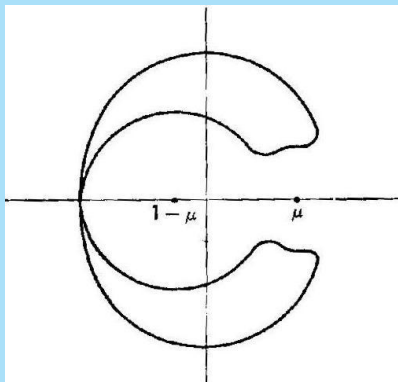
Now we decrease the value of the total energy  $E$ . The 'circle' shrinks and the ovoids increase until they touch.



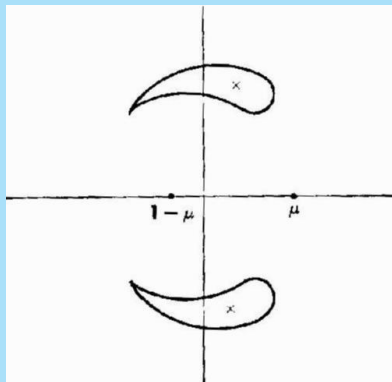
We decrease the value of  $E$  further. The 'circle' shrinks further and the ovoids increase until one touches the 'circle'.



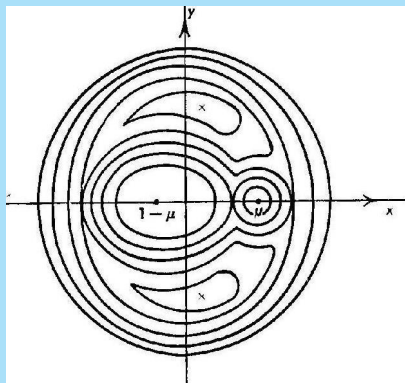
If we **decrease** the value of  $E$  even more, the 'circle' opens on one side while the ovoids touch it on the other.



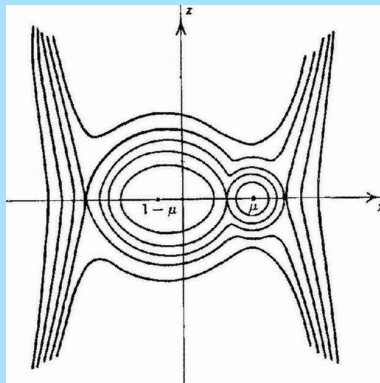
If we **decrease** the value of  $E$  still further we are left with two small areas that eventually shrink to two points.



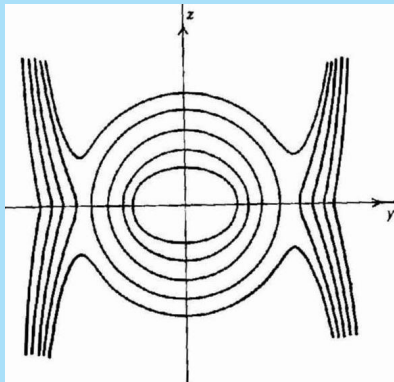
Here the previous figures are collected together. Five 'double points' occur in the  $(x, y)$ -plane.



Here we see the surfaces in the  $(x, z)$ -plane. Double point only occur on the  $x$ -axis.



Here we see the surfaces in the  $(y, z)$ -plane. Double points do not occur in this plane (on the  $y$ -axis).





# Position of the equilibrium points

Put a test particle in this force field with zero velocity.

It will then start to move **perpendicular** to the surface it happens to be on.

Unless it is in one of the double points, where there is no **unambiguous direction** to go.

If we use

$$F(x, y, z) = \frac{1}{2}(x^2 + y^2) + \frac{1 - \mu}{r_1} + \frac{\mu}{r_2} + \text{constant}$$

the condition that the double points are **stationary points** is

$$\frac{\partial F}{\partial x} = \frac{\partial F}{\partial y} = \frac{\partial F}{\partial z} = 0$$

## Remember

$$r_1^2 = (x - x_1)^2 + y^2 + z^2 \quad ; \quad r_2^2 = (x_2 - x)^2 + y^2 + z^2$$

$$r_1 = \sqrt{\text{const.} + z^2} \quad \Rightarrow \quad \frac{dr_1}{dz} = \frac{2z}{2\sqrt{\text{const.} + z^2}} = \frac{z}{r_1}$$

$$r_2 = \sqrt{\text{const.} + z^2} \quad \Rightarrow \quad \frac{dr_2}{dz} = \frac{2z}{2\sqrt{\text{const.} + z^2}} = \frac{z}{r_2}$$

Then

$$\frac{\partial F}{\partial z} = -z \left( \frac{1 - \mu}{r_1^3} + \frac{\mu}{r_2^3} \right)$$

And this equals zero for  $z = 0$  and the equilibrium points are thus in the  $(x, y)$ -plane.

Analogously we have the conditions

$$\frac{\partial F}{\partial x} = x - (1 - \mu) \frac{x - x_1}{r_1^3} + \mu \frac{x - x_2}{r_2^3} = 0 \quad (1)$$

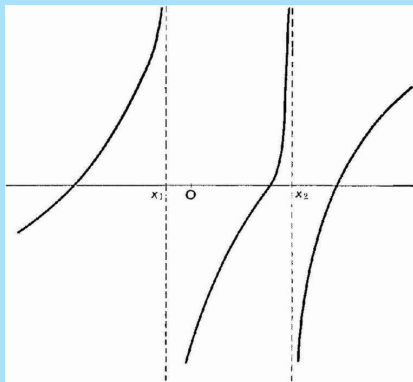
$$\frac{\partial F}{\partial y} = y - (1 - \mu) \frac{y}{r_1^3} - \mu \frac{y}{r_2^3} = 0 \quad (2)$$

First look at **the case**  $y = 0$ ; this is allowed according to eqn. (2).

Eqn. (1) then becomes (with  $r_1 = |x - x_1|$  and  $r_2 = |x - x_2|$ )

$$x - (1 - \mu) \frac{x - x_1}{|x - x_1|^3} - \mu \frac{x - x_2}{|x - x_2|^3} = 0$$

A graph of this looks as follows:



and we see that there are **three** solutions (but there is no general analytic form).

Then the case  $y \neq 0$ . Eqn. (2) then becomes

$$1 - \frac{1 - \mu}{r_1^3} - \frac{\mu}{r_2^3} = 0$$

Multiplying with  $(x - x_2)$  and  $(x - x_1)$  and subtracting from eqn. (1) gives

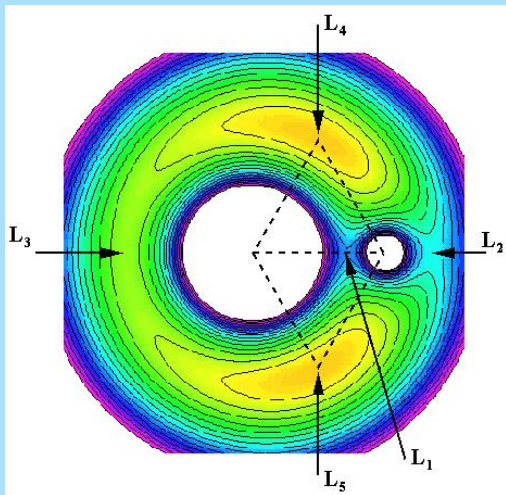
$$x_2 - (1 - \mu) \frac{x_2 - x_1}{r_1^3} = 0 \quad ; \quad x_1 - \mu \frac{x_1 - x_2}{r_2^3} = 0$$

and this implies (remember  $x_2 = 1 - \mu$  and  $x_1 = -\mu$ )

$$r_1 = r_2 = 1$$

These two solutions are on equilateral triangles with the two primary masses.

So we find the five **Lagrangian libration points**  $L_1$  through  $L_5$ .



# Stability of the Lagrange points



To test the **stability** we put the third mass to be at one of the Lagrange points and then give it a small velocity.

The point is stable if that results in an **oscillation with a small amplitude**.

The **equations of motion** are

$$\ddot{x} - 2\dot{y} = -\frac{\partial\phi}{\partial x}$$

$$\ddot{y} + 2\dot{x} = -\frac{\partial\phi}{\partial y}$$

$$\ddot{z} = -\frac{\partial\phi}{\partial z}$$

Take coordinates  $(\xi, \eta, \zeta)$  so that

$$x = x_0 + \xi \quad ; \quad y = y_0 + \eta \quad ; \quad z = z_0 + \zeta$$

Do a Taylor expansion, neglect squares and products and use that at  $(x, y, z)$   $\partial\Phi/\partial x = \partial\Phi/\partial y = \partial\Phi/\partial z = 0$ , etc. Then

$$\ddot{\xi} - 2\dot{\eta} = -\xi\Phi_{xx} - \eta\Phi_{xy} - \zeta\Phi_{xz}$$

$$\ddot{\eta} + 2\dot{\xi} = -\xi\Phi_{yx} - \eta\Phi_{yy} - \zeta\Phi_{yz}$$

$$\ddot{\zeta} = -\xi\Phi_{zx} - \eta\Phi_{zy} - \zeta\Phi_{zz}$$

with  $\Phi_{xy} = \partial^2\Phi/\partial x\partial y$ , etc.

We have

$$\Phi = \frac{1}{2}(x^2 + y^2) + \frac{1 - \mu}{\sqrt{(x - x_1)^2 + y^2 + z^2}} + \frac{\mu}{\sqrt{(x - x_2)^2 + y^2 + z^2}}$$

Now define

$$\alpha = \frac{1 - \mu}{r_1^3} + \frac{\mu}{r_2^3}$$

$$\beta = \frac{1 - \mu}{r_1^5} + \frac{\mu}{r_2^5}$$

This gives

$$\Phi_{xx} = -1 + \alpha - 3(1 - \mu) \frac{(x - x_1)^2}{r_1^5} - 3\mu \frac{(x - x_2)^2}{r_2^5}$$

$$\Phi_{yy} = -1 + \alpha - 3y^2\beta \quad ; \quad \Phi_{zz} = \alpha - 3z^2\beta$$

$$\Phi_{xy} = \Phi_{yx} = -3xy\beta \quad ; \quad \Phi_{xz} = \Phi_{zx} = -3zx\beta \quad ; \quad \Phi_{yz} = \Phi_{zy} = -3yz\beta$$

First look at the **Lagrangian points on the x-axis**.

So  $y = z = 0$ . Write  $x = x_0$  so that  $r_1^2 = (x_0 - x_1)^2$  and  $r_2^2 = (x_0 - x_2)^2$ , then

$$\Phi_{xx} = -1 - 2\alpha \quad ; \quad \Phi_{yy} = -1 + \alpha \quad ; \quad \Phi_{zz} = \alpha$$

$$\Phi_{xy} = \Phi_{yx} = \Phi_{xz} = \Phi_{zx} = \Phi_{yz} = \Phi_{zy} = 0$$

Then the equations of motion are

$$\ddot{\xi} - 2\dot{\eta} = \xi(1 + 2\alpha) \quad (3)$$

$$\ddot{\eta} + 2\dot{\xi} = \eta(1 - \alpha) \quad (4)$$

$$\ddot{\zeta} = -\zeta\alpha \quad (5)$$

Eqn. (5) is easily solved; it gives

$$\zeta \propto e^{\sqrt{-\alpha}t} = e^{i\sqrt{\alpha}t}$$

Now  $\alpha > 0$ , so  $\sqrt{\alpha}$  is imaginary.

Remembering that

$$e^{(a+ib)t} = e^{at}(\cos bt + i \sin bt)$$

we see that **if and only if** the exponent is **fully imaginary** (or  $a = 0$ ) we will have an oscillating solution.

This is the case, so we have a harmonic oscillation and these libration points are **stable in the z-direction**.

Say the solutions in the  $(x,y)$ -plane are  $\xi = Ke^{\lambda t}$  and  $\eta = Le^{\lambda t}$ .

When  $\lambda$  has a **real** component,  $\xi$  and  $\eta$  can assume arbitrary values and the point is **unstable**.

So the libration point is **stable** only if  $\lambda$  is **fully imaginary**.

Substitution, using  $\dot{\xi} = \lambda Ke^{\lambda t}$ ,  $\ddot{\xi} = \lambda^2 Ke^{\lambda t}$ , etc. in eqn. (3) and (4) gives

$$K\lambda^2 - 2L\lambda = K(1 + 2\alpha)$$

$$L\lambda^2 + 2K\lambda = L(1 - \alpha)$$

Eliminate  $K$  and  $L$ :

$$\frac{K}{L} = \frac{2\lambda}{\lambda^2 - (1 + 2\alpha)} = \frac{\lambda^2 - (1 - \alpha)}{-2\lambda}$$

Or

$$\lambda^4 + (2 - \alpha)\lambda^2 + (1 + 2\alpha)(1 - \alpha) = 0$$

Regard this as a quadratic polynomial equation in  $\lambda^2$ .

We need for stability that  $\lambda$  is purely imaginary so the two roots for  $\lambda^2$  should both be real and negative.

Then for their product<sup>1</sup> we should have  $(1 + 2\alpha)(1 - \alpha) > 0$ , or  $(1 - \alpha) > 0$ .

<sup>1</sup>For  $ax^2 + bx + c = 0$  the product of the roots is  $c/a$ .

We had according to eqn. (1)

$$x_0 - (1 - \mu) \frac{x - x_1}{|x - x_1|^3} - \mu \frac{x - x_2}{|x - x_2|^3} = 0$$

With the definition of  $\alpha$  we can write

$$x_0(1 - \alpha) + (1 + \mu) \frac{x_1}{r_1^3} + \mu \frac{x_2}{r_2^3} = 0$$

With  $x_1 = \mu$  and  $x_2 = 1 - \mu$ , this becomes

$$(1 - \alpha) = \frac{\mu(1 - \mu)}{x_0} \left( \frac{1}{r_1^3} - \frac{1}{r_2^3} \right)$$



Now we have in the cases of the three points on the  $x$ -axis

$$L_1: x_0 > x_2 > 0 \quad \text{and} \quad r_1 > r_2 \quad \Rightarrow \quad (1 - \alpha) < 0$$

$$L_2: 0 < x_0 < x_2 \quad \text{and} \quad r_1 > r_2 \quad \Rightarrow \quad (1 - \alpha) < 0$$

$$L_3: x_0 < x_1 < 0 \quad \text{and} \quad r_1 < r_2 \quad \Rightarrow \quad (1 - \alpha) < 0$$

Then we only have real solutions for  $\lambda$ .

So all three Lagrangian points on the  $x$ -axis are **unstable**.

Now turn to the **triangular points**.

$r_1 = r_2 = 1$  and therefore

$$x = \frac{1}{2}(1 - 2\mu) \quad ; \quad y = \pm \frac{\sqrt{3}}{2} \quad ; \quad z = 0$$

We do here the solution for the positive value of  $y$ . Then

$$\Phi_{xx} = -\frac{3}{4} \quad ; \quad \Phi_{yy} = -\frac{9}{4} \quad ; \quad \Phi_{zz} = 1$$

$$\Phi_{xy} = \Phi_{yx} = -\frac{3\sqrt{3}}{4}(1 - 2\mu)$$

And the others are equal to **zero**.

The equations of motions now are

$$\ddot{\xi} - 2\dot{\eta} = \frac{3}{4}\xi + \frac{3\sqrt{3}}{4}(1 - 2\mu)\eta$$

$$\ddot{\eta} + 2\dot{\xi} = \frac{9}{4}\eta + \frac{3\sqrt{3}}{4}(1 - 2\mu)\xi$$

$$\ddot{\zeta} = -\zeta$$

From the last one we get  $\zeta \propto e^{it}$ , so the motion is harmonic with a period equal to that of the primary masses and the point is **stable in the z-direction**.

Try again the solutions  $\xi = Ke^{\lambda t}$  and  $\eta = Le^{\lambda t}$  and find out whether or not  $\lambda$  is fully imaginary or not.

The result is

$$\left(\lambda^2 - \frac{3}{4}\right) K - \left\{ \frac{3\sqrt{3}}{4}(1 - 2\mu) + 2\lambda \right\} L = 0$$

$$- \left\{ \frac{3\sqrt{3}}{4}(1 - 2\mu) - 2\lambda \right\} K + \left(\lambda^2 - \frac{9}{4}\right) L = 0$$

Eliminate again  $K$  and  $L$ , then

$$\lambda^4 + \lambda^2 + \frac{27}{4}\mu(1 - \mu) = 0$$

Again regard this as a **quadratic equation** in  $\lambda^2$ .

For stability it must have only **real, negative** roots.

First their sum must be negative and their product positive. This is true, since the **sum** of the roots<sup>2</sup> is  $-1$  and their **product**  $\frac{27}{4}\mu(1 - \mu) > 0$ .

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<sup>2</sup>For  $ax^2 + bx + c = 0$  the sum of the roots is  $-b/a$  and the product  $c/a$ .

Then the roots  $\lambda^2$  should be **real**<sup>3</sup>, which is the case for

$$1 - 27\mu(1 - \mu) = 27\mu^2 - 27\mu + 1 > 0$$

This is a **quadratic inequality** in  $\mu$ .

Since  $\mu \leq 1/2$  this corresponds to the root

$$\mu < \frac{1}{2} - \sqrt{\frac{23}{108}} = 0.0285.$$

So these Lagrangian points are **stable** only in the case of a large difference in the two primary masses.

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<sup>3</sup>The roots are real if  $(b^2 - 4ac) > 0$ .

# Applications

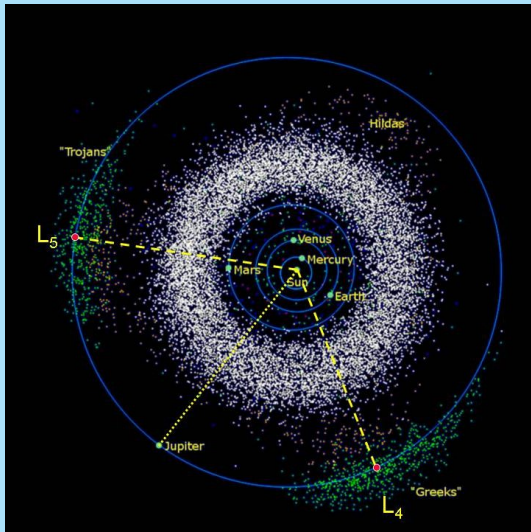
For the **Jupiter - Sun system**  $\mu = 0.0010$  so the Lagrangian points **L<sub>4</sub>** and **L<sub>5</sub>** are **stable**.

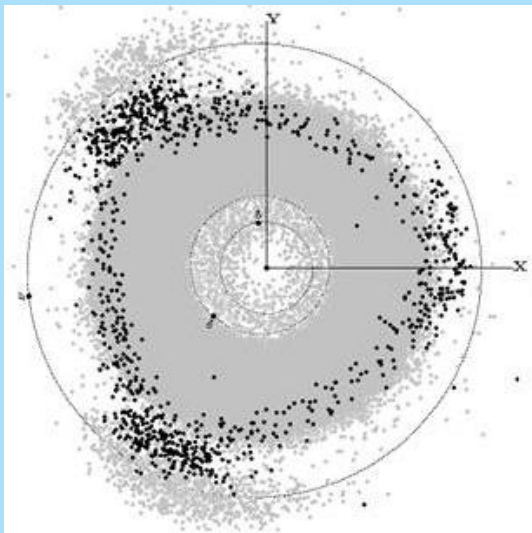
Indeed that is where we find the **Trojans**, a dynamical group of asteroids.

In principle these can cross from **L<sub>5</sub>** to **L<sub>4</sub>** through **L<sub>3</sub>** and vice versa.

Another group are the **Hildas**, that are in a **2–3** orbital **resonance** with Jupiter. They are also affected by **L<sub>3</sub>**, **L<sub>4</sub>** and **L<sub>5</sub>**.







For the **Earth-Sun system**  $\mu = 0.0123$ , so the Lagrangian points  $L_4$  and  $L_5$  are **stable**.

Only  $L_1$  and  $L_2$  are useful and are exploited to “park” satellites. The triangular points and  $L_3$  are too far away (and there are too many distortions).

In  $L_1$  we find satellites for solar observations, such as **SOHO** (SOlar and Heliospheric Observatory).

Point  $L_2$  was used for **WMAP** (Wilkinson Microwave Anisotropy Probe) and will be used for **Herschel** with HIFI and **JWST** (James Webb Space Telescope).

Satellites need to be actively kept at these unstable points.

