

INTELLIGENT LIFE IN THE UNIVERSE

Crowded Skies, Lonely Planet or Rare Earth?

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INTELLIGENT LEVEN IN HET HEELAL

Bevolkte hemel of zeldzame aarde?

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SETI: The Search for Extraterrestrial Intelligence

Are we alone?

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Is the Solar System unique?

Characteristics of the Solar System

Titius-Bode law, resonances, stability and chaos

Planet formation

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Conclusions

This presentation is available on

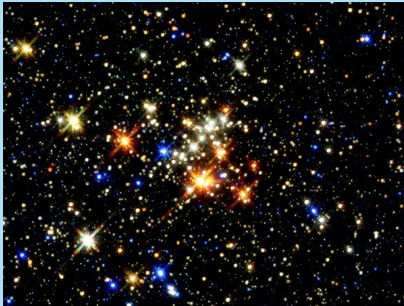
www.astro.rug.nl/~vdkruit/jea3/homepage/KNG_SETI.pdf

At the end there is a list of 'suggestions for further reading'.

SETI: The Search for Extraterrestrial Intelligence

Are we alone?

So many stars... so many galaxies.



$$10^{11} \times 10^{12} = 10^{23}$$

- ▶ It is often stated that the **vastness of the Universe** and the **enormous number of stars** it contains, ensures that there must be numerous places in the Universe **suitable for life** to exist.
- ▶ The '**Copernican Cosmological Principle**' (or the **assumption of mediocrity**) states that we are not special in any sense.
- ▶ So, obviously, the Universe must contain lots of planets with (carbon-based) life, including many instances of **intelligent life** like on Earth.
- ▶ *"For all those who have thought the hard question a better companion than the easy answer, and the road better than the inn."*¹

¹Dedication in '*The Dawn of Life*' by J.H. Rush (1957).

- ▶ Maybe there are indeed many planets where conditions are favorable for life to originate, so that **primitive life would be prolific**.
- ▶ But is it so obvious that this will **unavoidably** evolve into complex and intelligent forms of life?
- ▶ Maybe **very special** conditions are required for this.
- ▶ **Is intelligent life exceptional or abundant in the Universe?**
- ▶ Arthur C. Clarke (1917–2008):
'Sometimes I think we're alone; sometimes I think we're not. In either case, the thought is staggering.'

- ▶ On two previous occasions I discussed the matter of **intelligent life in the universe** and the **Cosmological Anthropic Principle** (see later):
My inaugural lecture '**Welke ster is nu de mijne**'² and my J.H. van Oosbree-lecture '**Oorsprong**'³.
- ▶ I used to devote the final lecture of my course **Sterrenkunde I** for first-year students to this, until the '**herprogramming**'.
- ▶ Recently wrote a book review '**Dan zouden we het toch gehoord hebben**' for the Dutch periodical *Academische Boekengids*.⁴
- ▶ I have an old interest in studies of the **longterm stability** of the Planetary System (lectures on 'Hemelmechanica' by **Henk van de Hulst**).

²www.astro.rug.nl/~vdkruit/jea3/homepage/oratie.pdf

³www.astro.rug.nl/~vdkruit/#J.H.vanOosbreezing

⁴www.astro.rug.nl/~vdkruit/jea3/homepage/SETIXL.pdf

History of SETI

- ▶ Speculations on the **plurality of worlds** go back to ancient times; e.g. **Plutarch**.
- ▶ The **church** has declared this idea at times heretical.
- ▶ **Giordano Bruno** was burned on the stake in **1600**, among others for promoting the view of a universe full of inhabited planets.
- ▶ **Kepler (1571–1630)** in '**Conversations with the Starry Messenger**' after having learned of Galilei's discovery of the moons of Jupiter:

"Our Moon exists for us on Earth, not for the other globes. Those four little moons exist for Jupiter, not for us. Each planet in turn, together with its occupants, is served by its own satellites. From this line of reasoning we deduce with the highest degree of probability that Jupiter is inhabited."

- ▶ Later Kepler wrote a book '**Somnium**' and described what the Earth would look like from the Moon.
- ▶ Late nineteenth and early twentieth century early radio technology was used to attempt listening to extraterrestrials, e.g. by **Tesla** and **Marconi**.
- ▶ The first serious publication was by **Giuseppe Cocconi & Philip Morrison** in a paper in *Nature* in 1959⁵.
- ▶ They proposed to use the frequency of the **21-cm neutral hydrogen line** as the most natural one.

⁵www.coseti.org/morris_0.htm

SEARCHING FOR INTERSTELLAR COMMUNICATIONS

By GIUSEPPE COCCONI* and PHILIP MORRISON†

Cornell University, Ithaca, New York

NO theories yet exist which enable a reliable estimate of the probabilities of (1) planet formation; (2) origin of life; (3) evolution of societies possessing advanced scientific capabilities. In the absence of such theories, our environment suggests that stars of the main sequence with a lifetime of many billions of years can possess planets, that of a small set of such planets two (Earth and very probably Mars) support life, that life on one such planet includes a society recently capable of considerable scientific investigation. The lifetime of such societies is not known; but it seems unwarranted to deny that among such societies some might maintain themselves for times very long compared to the time of human history, perhaps for times comparable with geological time. It follows, then, that near some star rather like the Sun there are civilizations with scientific interests and with technical possibilities much greater than those now available to us.

* Now on leave at CERN, Geneva.

† Now on leave at the Imperial College of Science and Technology, London, S.W.7.

To the beings of such a society, our Sun must appear as a likely site for the evolution of a new society. It is highly probable that for a long time they will have been expecting the development of science near the Sun. We shall assume that long ago they established a channel of communication that would one day become known to us, and that they look forward patiently to the answering signals from the Sun which would make known to them that a new society has entered the community of intelligences. What sort of a channel would it be?

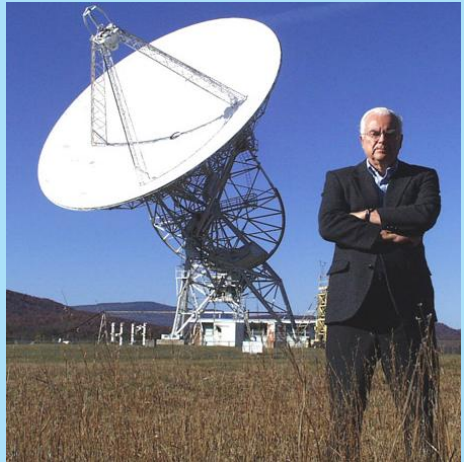
The Optimum Channel

Interstellar communication across the galactic plasma without dispersion in direction and flight-time is practical, so far as we know, only with electromagnetic waves.

Since the object of those who operate the source is to find a newly evolved society, we may presume that the channel used will be one that places a minimum burden of frequency and angular discrimi-

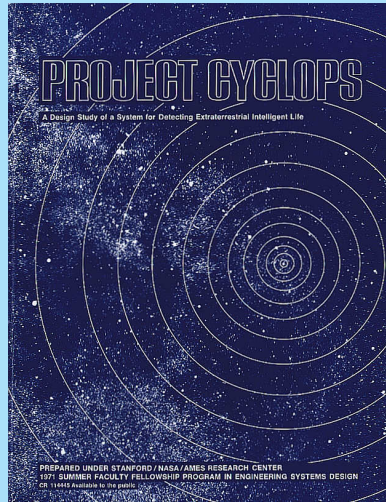
Project OZMA⁶

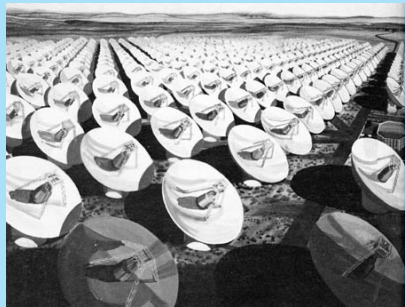
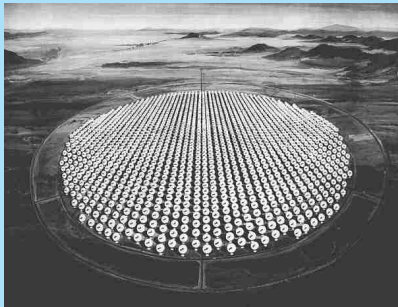
- ▶ In 1960, Frank Drake used the 85-ft radiotelescope at Green Bank (W-Virginia) to study two nearby stars similar to the Sun (τ Tauri and ϵ Eridani).
- ▶ He found nothing (but a signal from military air traffic).



⁶www.bigear.org/vol1no1/ozma.htm

- ▶ The **Project Cyclops** of **1971** was a NASA-funded feasibility study.
- ▶ It envisioned an array of **1000–1500 100-meter** radio telescopes to search for radio signals of **intelligent life** comparable to ours at distances of up to **1000 light-years**.





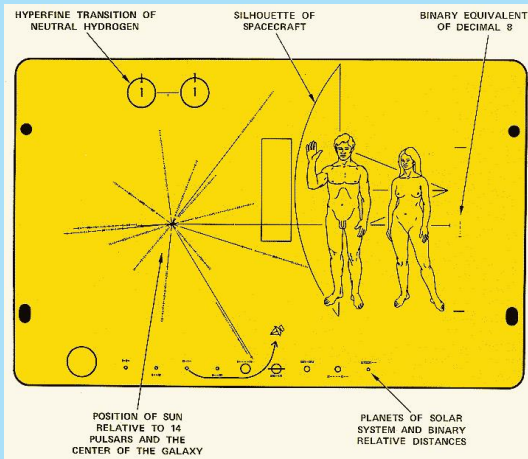
It would have cost **billions of dollars**, but that was comparable to what the **Apollo** program to the Moon had cost.⁷

⁷For a full electronic copy of the report see ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/19730010095_1973010095.pdf

The **Pioneer 10** (1972) and **Pioneer 11** (1973) spacecrafts, that visited Jupiter (and P11 Saturn) and then left the Solar System, were outfitted with a **plaque** holding a message about us.



It was designed by **Carl Sagan**, who shows it here.



The **300-m Arecibo radio telescope** on Puerto Rico was used on **16 November 1974** to send a message to the **globular cluster M13**, some **25,000 lightyears** away.

The message consisted of **1679** binary digits at **2380 MHz** and modulated by shifting the frequency by **10 Hz**, with a power of **1000 kW**.

The total broadcast was **less than three minutes**.



The Arecibo Message

The message sent in 1974 from the Arecibo radio telescope toward the globular cluster M13 consisted of 1679 "bits" of information. A "0" is represented by an "off" radio pulse, while a "1" is represented by an "on" radio pulse.

```
000000101010100000000000010100000101000000100100010001000100
10110010101010101010101001001000000000000000000000000000000000
00000110000000000000000000010100000000000000000000000000000000
00000000001010100000000000000001111000000000000000000000000000
00000000011000011100011000110001000000000000000110010000110100
011000110000110101111011110111110111100000000000000000000000000
00001000000000000000010000000000000000000000000000000100000000
000000000111110000000000001111000000000000000000000000011000
0110001110001100010000000100000000100001101000110001110011
010111110111101111011110111100000000000000000000000000000000011
000000000100000000001100000000000000100000110000000000011111
1000011000001111100000000011000000000001000000001000000010000000
0100001000001100000010000001100011000001000000000011000
10000110000000000000001100110000000000011000100001100000000
011000011000000100000010000010000000010000100000011000000
00100010000000110000000100010000000010000000010000000000000000
10000001000000100000000000110000000011000000011000000000000000
100111010110000000000100000010000000000000000000000000000000000
00000000010000101110100101101100000001110010011111101110000
11100000101110000000001010000011011001000000101000001111110
01000001010000010000010000011011000000000000000000000000000000
00000000011000001000000000000001101010001010101010101011000
000000101010100000000000000001010000000000001111100000000000
00000111111110000000000011000000011000000001000000000000000000
0110000000101000000000101000001100000001100110000100010
1000001010001000100100100010010001000000010001010001000000
00000100001000010000000000010000000010000000000000000001001010
```



The number 1679 was chosen because it is a semiprime (the product of two prime numbers) and it can be arranged in a rectangle of 73 rows and 23 columns.

It then produces a 'meaningful' image. The alternative arrangement (23 rows by 73 columns) produces nonsense.

This is called Active SETI of METI (Messaging ETIs).

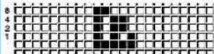
How to decypher the message

Original 1974 message

10 9 8 7 6 5 4 3 2 1



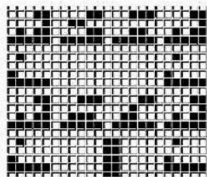
Showing decimal numbers 1-10



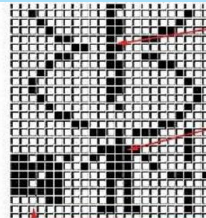
Atomic Numbers for

- 1 = Hydrogen 8 = Oxygen
- 6 = Carbon 15 = Phosphorus
- 7 = Nitrogen

15,8,7,5,1



Formulas for Sugars and Bases in Nucleotides of DNA



Number of Nucleotides in DNA

DNA Double Helix

Human

Height of Human

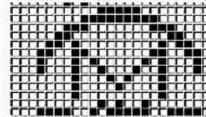
= 14"12.6cm = 176.4cm = approx 5'9"

Population of Earth

110110
111111
111011
110111
111111
11



The Solar System
(highlighting the third planet)



The Arecibo Telescope

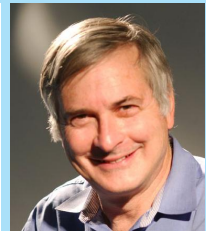
Diameter of telescope
(2,430 wavelength units)

- ▶ During the seventies and eighties **NASA** funded a few more dedicated workshops and some 'piggy-back' research on radio telescopes.
- ▶ The first workshop in **1977** was called **The Search for Extraterrestrial Intelligence**⁸ and this was the origin of the acronym **SETI**.
- ▶ NASA support of SETI met increasingly strong opposition in **Congress**.
- ▶ E.g. the research program **HRMS** (=High Resolution Microwave Survey) (at **~12M\$ per year** less than **0.1%** of NASA's budget) was ridiculed (led by Senator Richard Bryan from Nevada) and canceled in **1993**.⁹

⁸history.nasa.gov/SP-419/sp419.htm

⁹history.nasa.gov/garber.pdf

Current situation



- ▶ Currently the efforts are concentrated in the privately funded **SETI Institute**¹⁰ in Mountain View, California (founded 1984).
- ▶ *"The SETI Institute's Mission is to explore, understand and explain the origin, nature and prevalence of life in the universe."*
- ▶ Prominent scientists are **Jill Tarter** and **Seth Shostak**.

¹⁰www.seti.org

- ▶ **Seth** worked for a number of years in Groningen as a radio astronomer at the **Kapteyn Institute**.
- ▶ He started a computer animation company **DIGIMA**.
- ▶ In **1988** he won the **Wubbo Ockels Prize** of the city of Groningen.
- ▶ He was particularly known for his original use of language and puns^a.

^aSee e.g. **Boldly going nowhere**, April 2009 in 'The New York Times', www.nytimes.com/2009/04/14/opinion/14shostak.html?_r=1&scp=1&sq=Boldly Going Nowhere&st=cse

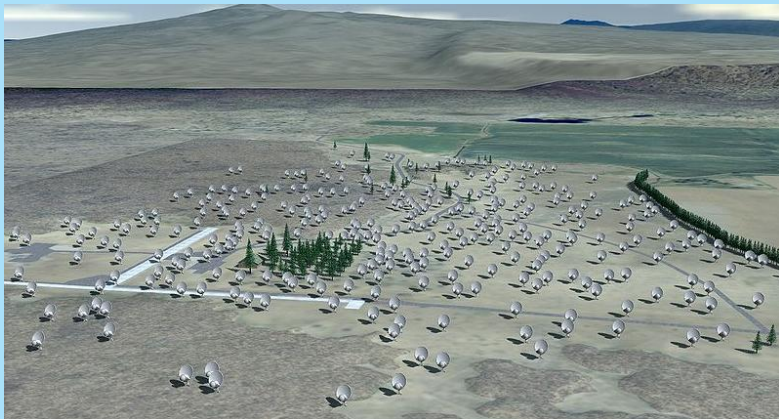


- ▶ The SETI Institute operates with the University of California at Berkeley, the **Allen Array**¹¹ at Hat Creek Observatory in Northern California.
- ▶ Currently it has **42 6-meter** elements (was closed for a few months earlier this year because of funding problems).



¹¹Named after Paul Allen, co-founder of Microsoft, who provided **25M\$**.

- ▶ Eventually it should grow to **350** elements.





Data taken with radiotelescopes in SETI programs are being reduced and analyzed by **private individuals on their home computers**.¹²

Anyone can join. But it takes time and dedication.

For a recent summary of state of affairs see: Korpela *et al.*: ***Status of the UC-Berkeley SETI efforts***¹³.

¹²setiathome.berkeley.edu

¹³adsabs.harvard.edu/abs/2011SPIE.8152E..27K

SETI Protocols

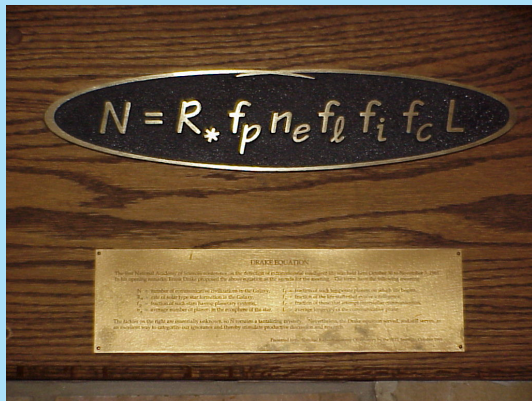
- ▶ There has been much discussion of how to **respond** to the discovery of an ETI.
- ▶ How and by whom will it be **announced** to the general public?
- ▶ How will we **respond**? And who decides on that?
- ▶ Will the aliens be **benevolent** and can they be trusted?
- ▶ The **SETI Permanent Committee** of the International Academy of Astronautics¹⁴ has drawn up a **Post-Detection Protocol** discussed this.
- ▶ It produced a **Declaration of Principles**, the **Proposed SETI Reply Protocols** and a Position paper: **Sending Communications to Extraterrestrial Civilizations**.

¹⁴www.setileague.org/iaaseti/index.html

Crowded skies or lonely planet?

Drake equation

Plaque in the **National Radio Astronomy Observatory** (Charlottesville, VA) on the wall where Drake first wrote this equation in **1961** on a blackboard.



The **Drake equation** estimates the number of civilizations in the Milky Way that want to communicate.

- ▶ Take the **number of (suitable) stars that are being formed in the Galaxy per year** and multiply by:
 - ▶ fraction that has a **planetary system**;
 - ▶ number of **habitable** planets in a system;
 - ▶ fraction on which **life originates**;
 - ▶ fraction on which then **intelligent life** develops;
 - ▶ fraction of these civilizations that are interested in **communication**.
- ▶ That leaves you with the **number of new civilizations per year that send out radio messages**.

- ▶ The number of (suitable) stars forming in the galaxy is usually taken to be **between 1 and 10** per year.
- ▶ The other factors are of order **0.1 to 1**.
- ▶ Then there are **between 0.1 and 10 new civilizations per year** starting sending out signals.
- ▶ Multiply that by the **mean lifetime** (how long they keep doing that) and you get the number of such civilizations in the Galaxy.
- ▶ The crucial point is this lifetime.
- ▶ **Drake, Sagan**, etc. used to assume values of 10^5 to 10^6 years.
- ▶ *The nearest civilization then is of order (a few) hundred lightyears away.*

How realistic is all this?

- ▶ I will come back to the question of **frequency of (habitable) planets** later.
- ▶ Probably **primitive life (archaea, prokaryotes, single-cell eukaryota)** originates under many circumstances and is therefore abundant in the Universe.
- ▶ But evolution into **life on land, animals** and even **mammals** may require very special conditions.
- ▶ Even if intelligent life forms, it may not develop **technological civilizations**.¹⁵
- ▶ And even if it does, it may not **survive** for many millennia.¹⁶

¹⁵See e.g. Jared Diamond: *Guns, Germs, and Steel: The fates of human societies* (1997).

¹⁶See e.g. Bonnet & Woltjer: *Surviving 1000 Centuries: Can we do it?* (2008).

Drake equation: conclusions

Intelligent life, that SETI searches for¹⁷ is abundant in the universe:

- ▶ if most stars have planets suitable for life to occur;
- ▶ if life will then inevitably form on these planets and as a matter of course will develop into intelligent life; and
- ▶ if civilizations interested in communication will survive for very long times.

¹⁷Christopher McKay: *'Intelligence is now defined as having the ability to build a radio telescope.'*

Fermi paradox

- ▶ The story goes that, around 1950 in Los Alamos, Enrico Fermi, Edward Teller and a few atomic scientists were discussing extraterrestrial life over lunch.
- ▶ They conjectured that advanced civilizations should be able to colonize the Galaxy (self-replicating robots?) in 10^5 to 10^6 years.
- ▶ Fermi is supposed to have asked: *"So, where is everybody?"*
- ▶ If there are that many habitable planets and intelligent civilizations, why has none of these visited Earth?
- ▶ This is Fermi's Paradox.
- ▶ It has been made more prominent by Michael H. Hart.

- ▶ He earned a Ph.D. at Princeton with **Lyman Spitzer** in **1972** at age 40 (on treatment of convection in stars).
- ▶ Became a **Carnegie Fellow** at the **Hale Observatories** (Mt. Wilson and Palomar) in Pasadena, CA.
- ▶ There he turned his interest to **planetary atmospheres** and **life in the Universe**.
- ▶ I was a Carnegie Fellow at the same time and the matter was discussed extensively at Hale Observatories.
- ▶ He published '*Explanation for the Absence of Extraterrestrials on Earth*' in **1975**¹⁸ (after his fellowship ended).
- ▶ Later he became interested in history and an ultra-conservative Republican, and he even proposed racial segregation.

¹⁸adsabs.harvard.edu/abs/1975QJRAS..16..128H

Fermi(-Hart) paradox: implications

“The Fermi paradox is the apparent contradiction between high estimates of the probability of the existence of extraterrestrial civilizations and the lack of evidence for, or contact with, such civilizations.”¹⁹

Although ways out have been suggested (e.g. zoo hypothesis²⁰), the implication of the paradox would be that intelligent extraterrestrial life is extremely rare.

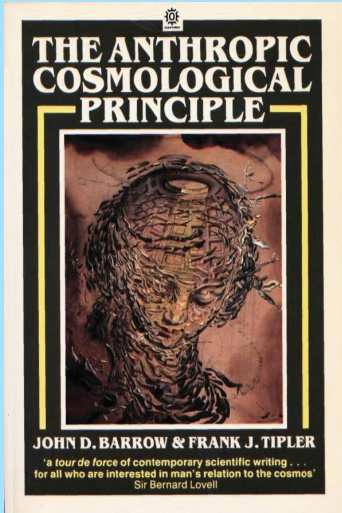
¹⁹From Wikipedia

²⁰Or the ‘Prime Directive’ of *Space Trek*: “There can be no interference with pre-warp civilizations”.

Anthropic cosmological principle

- ▶ The **anthropic principle** originated in physics and cosmology.
- ▶ We live in a universe that is **fine-tuned** in the sense that **fundamental constants in physics** have to have values in a small range for life as we know it to exist.
- ▶ An example of 'fine tuning' is the existence of a semi-stable, resonant excited state of otherwise very unstable **beryllium-8** (${}^8\text{Be}$) that
 - ▶ allows '**helium burning**' ($3{}^4\text{He} \rightarrow {}^{12}\text{C}$) at just the temperature and density that occurs during late stages of stellar evolution,
 - ▶ but which does not work in the early universe such that **cosmological nucleosynthesis** stops at He (except for a tiny bit of Li).
 - ▶ Without this **coincidence** life would not be possible.

The anthropic principle was introduced by **Brendon Carter**²¹ in 1974.



- ▶ It has been extensively treated in a book by **Barrow & Tipler** and extended to various variants.
- ▶ *"The observed values of all physical and cosmological quantities are not equally probable but they take on values restricted by the requirement that there exist sites where carbon-based life can evolve and by the requirements that the Universe be old enough for it to have already done so."*

²¹adsabs.harvard.edu/abs/1974IAUS...63..291C

- ▶ Barrow & Tipler show that the order of magnitude of the **lifetime of a star** that radiates through 'hydrogen-burning', can be expressed in terms of **fundamental physical constants**²²:

$$T_{\star} \sim \frac{\alpha \hbar}{\alpha_G \beta^2 m_p c^2} \sim 10^{10} \text{ years.}$$

- ▶ So the fundamental physical constants *dictate* that stars like the Sun must live for billions of years.
- ▶ It is then no surprise that we live in a Universe that has a size of **billions of lightyears** and contains an enormous number of stars.

²²Here α is the fine-structure constant, α_G the gravitational coupling constant (defined as $Gm_p^2/\hbar c$), G Newton's gravitational constant, \hbar the reduced Planck constant, c the speed of light, m_p the proton-mass and β the proton-electron mass ratio.

- ▶ Currently the **anthropic principle** is quoted often in connection with **string theory** and the existence of the 'Multiverse' or 'Cosmic Landscape'.
- ▶ This has to do with the fact that **Theories of Everything** fail to predict the values of the physical constants.
- ▶ Only those universes in the Landscape with **very special values** for the constants allow observers.
- ▶ **Leonard Susskind** has written a popular book about this.²³
- ▶ Tipler has gone further and deduced from the anthropic principle that '**extraterrestrial beings do not exist**'²⁴.

²³ *The Cosmic Landscape: String theory and the illusion of intelligent design* (2005).

²⁴ adsabs.harvard.edu/abs/1980QJRAS..21..267T; see also adsabs.harvard.edu/abs/1983RSPTA.310..347C

- ▶ Aspects of nature that are **necessary** for intelligent life to develop **imply** timescales of **billions of years** and therefore a Universe that measures **billions of lightyears**.
- ▶ This in turn implies the existence of 10^{20} stars, so it is no surprise if it has been realized only **once**.
- ▶ The anthropic principle has been criticized as being **unscientific** (not falsifiable).
- ▶ Its appeal is that it emphasizes the **unity** in nature and the **intimate connection** between the structure of the universe and the presence of humankind.

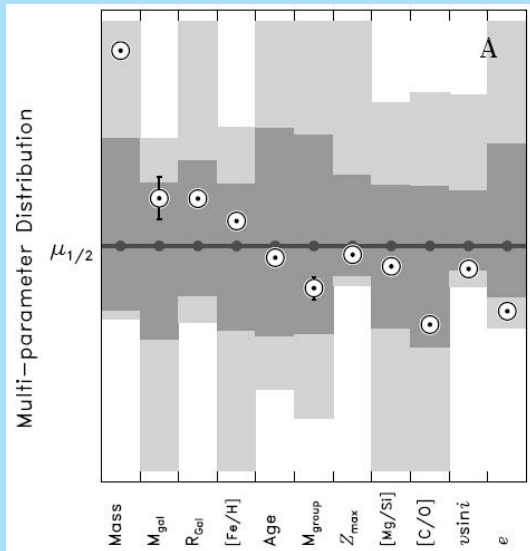
Anthropic principle: implications

- ▶ According to the anthropic principle we must be in a very special position that allowed the development of intelligent life.
- ▶ This is the opposite of the Copernican principle.
- ▶ Intelligent life does not have to be abundant and could even be rare.

Is the Sun a typical star?

- ▶ One may compare various properties of the Sun (**mass**, **age**, **chemical composition**, **galactic orbit**) with the common stars in the solar neighborhood.
- ▶ There is a study²⁵ that does this for **11** properties that may in one way or the other be related to the possibility of harboring habitable planets.
- ▶ The result is that if we select a star at random only **1 out of 4** will be **less typical** (in a χ^2 -sense) than the Sun.
- ▶ There is every reason to regard the Sun as **a typical star**.

²⁵Robles, Lineweaver *et al.*, adsabs.harvard.edu/abs/2008ApJ...684..691R



The figure shows
medians, 68 and 95
percentiles (' 1σ ', ' 2σ ').

The Sun is exceptional
in two respects:

- ▶ 95% of the stars are less massive.
- ▶ 93% of stars have more excentric orbits in the Galaxy.

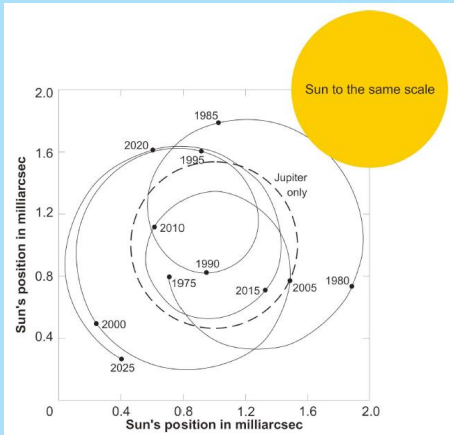
Exoplanets: Search methods²⁶

Direct imaging

- ▶ At 10 pc (1 parsec = 3.26 lightyears) Jupiter is 0.5 arcsec from the Sun and 19.6 mag (factor 7×10^7) fainter.
- ▶ At this distance the Earth is 0.1 arcsec from the Sun and 22.4 mag (factor 9×10^8) fainter.
- ▶ This makes this method unpractical at the moment.
- ▶ It is expected that the European Extremely Large Telescope that is being considered may be able to do this using conjugate adaptive optics together with coronagraphy.²⁷

²⁶See e.g. Jones, adsabs.harvard.edu/abs/2008IJAsB...7..279J

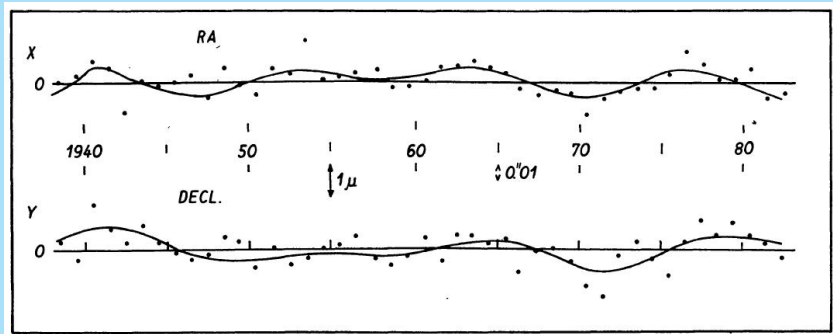
²⁷See www.eso.org/sci/facilities/eelt/science/index.html



- ▶ Here we see the motion of the Sun around the **center of gravity** of the Solar System seen from a distance of **10 pc** (32.6 lightyears).
- ▶ Both due to **Jupiter alone** (dashed circle) and to all planets.
- ▶ Amplitude is of **order milliarcsec** for Jupiter.
- ▶ This is extremely difficult to measure.

Of course people were expecting planetary systems like our own.

Peter van de Kamp claimed **two** planets around **Barnard's Star**²⁸.



- ▶ From long-term project from **Sproul Observatory** (Penn., USA).
- ▶ Van de Kamp was an **famous astrometrist**.

²⁸adsabs.harvard.edu/abs/1982VA.....26..141V

- ▶ The planets had periods of 12 and 20 years.
- ▶ Barnard's star is high-velocity star at 6.0 lightyears with mass $0.15 M_{\odot}$.
- ▶ Planets are at 2.4 and 3.4 AU²⁹ and masses are 0.5 and 0.7 M_{Jup} .
- ▶ Barnard's star has metallicity³⁰ 0.1 times that of the Sun.
- ▶ Detailed study has shown that deviations correlate with times of maintenance and modifications to the objective lens of the (refractor) telescope.
- ▶ At present no planets have been found around this star.

²⁹Astronomical Unit = mean distance Earth – Sun

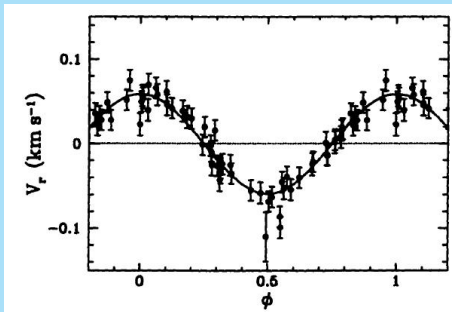
³⁰In astronomy 'metals' means all elements heavier than helium.

Radial velocity

- ▶ If we would be **in the plane of the Solar System** its radial velocity of the Sun would have a wobble
 - ▶ **Jupiter**: amplitude **12.5 m/sec** with period **11.9 years**.
 - ▶ **Earth**: amplitude **8.9 cm/sec** with period **1.0 years**.
- ▶ The inferred mass is dependent on **inclination i** if the orbit.
- ▶ This method requires high **accuracy** and **stability**.
- ▶ The accuracy attainable now is about a **m/sec**.
- ▶ This means the measurement of the wavelength of a spectral line accurate to **one part in 300 million**.
- ▶ The **High Accuracy Radial Velocity Planetary Search (HARPS)**³¹ instrument of Geneva Observatory on the **ESO 3.6-meter La Silla telescope** is very prominent.

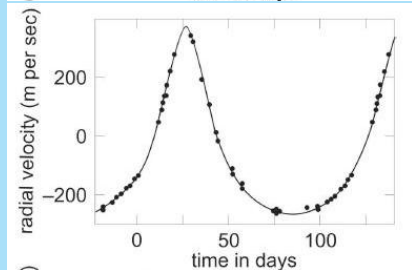
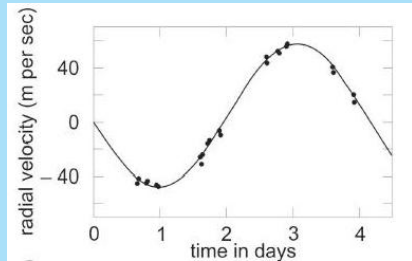
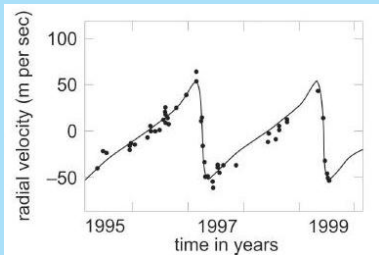
³¹obswww.unige.ch/Instruments/harps

First detection of an exoplanet in 1995.³²



- ▶ 51 Pegasi is a solar-type star ($M = 1.06M_{\odot}$) at about 50 lightyears.
- ▶ It somewhat more metal-rich than the Sun.
- ▶ Planet mass is $0.47/\sin i M_{\text{Jup}}$.
- ▶ Roughly circular orbit of 4.23 days and radius about 0.05 AU.
- ▶ First example of a 'hot Jupiter'.

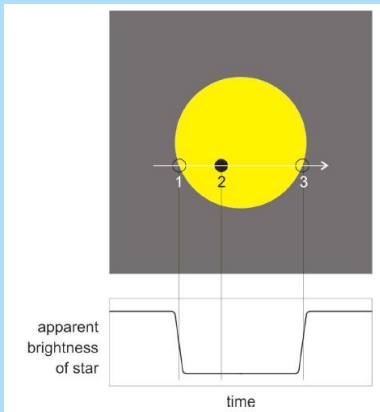
³²M. Mayor & D. Queloz: *A Jupiter-mass companion to a solar-type star*, adsabs.harvard.edu/abs/1995Natur.378..355M.



The form can be used to determine the **excentricity**^a of the orbit.

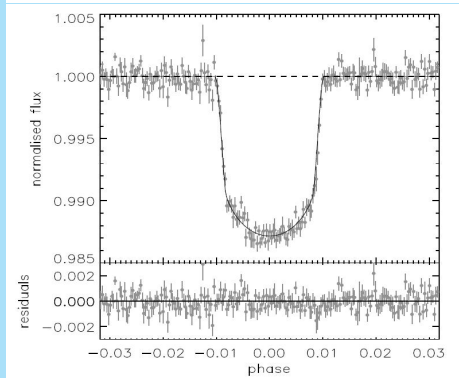
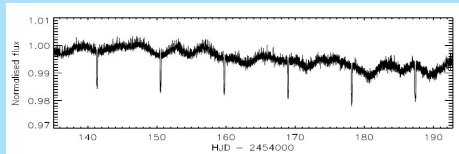
^aOften spelled eccentricity

Transits



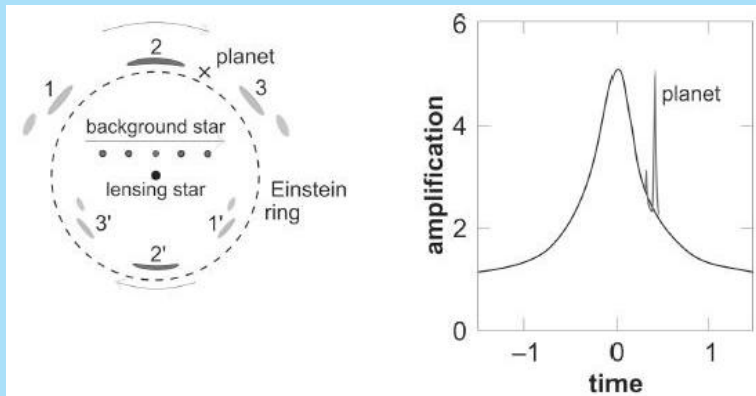
- ▶ Transit of **Jupiter**: Dip of **1.1%** for maximum **36.6 hours** and repeating every **11.9 years**. No more than **0°05** from plane of orbit.
- ▶ Transit of **Earth**: Dip of **0.0083%** for maximum **13.1 hours** and repeating every **1.0 years**. No more than **0°25** from plane of orbit.

- ▶ This can be done with a large accuracy from **space**.
- ▶ The satellites **CoRoT**^a (France/Europe) and **Kepler**^b (USA) are discovering large numbers of planets.
- ▶ Figure show data from CoRoT (**CoRot-exo4**; **1.17 R_{Jup}** , **9.2 days**).
- ▶ Follow-up is needed to determine **excentricity**.



^asmc.cnes.fr/COROT and
www.esa.int/esaMI/COROT/index.htm
^bkepler.nasa.gov

Gravitational microlensing

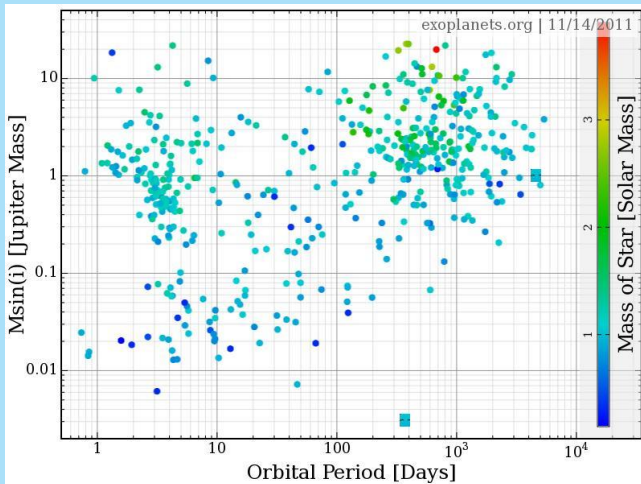


Gravitational lensing **amplifies** the light when a star+planet move in front of a background star.

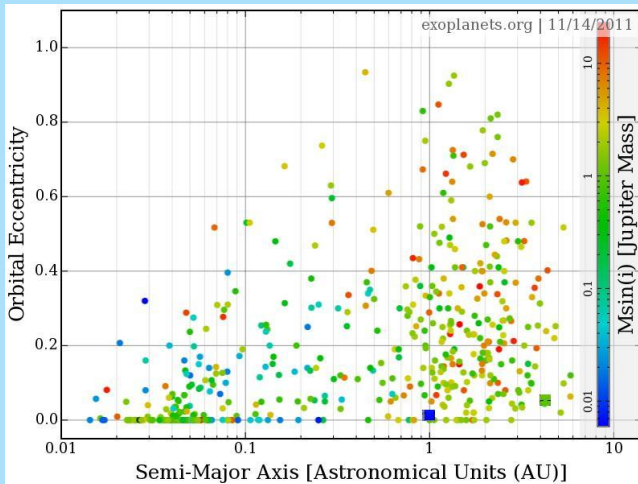
Exoplanets: Results of searches

The following applies to **November 14, 2011**.

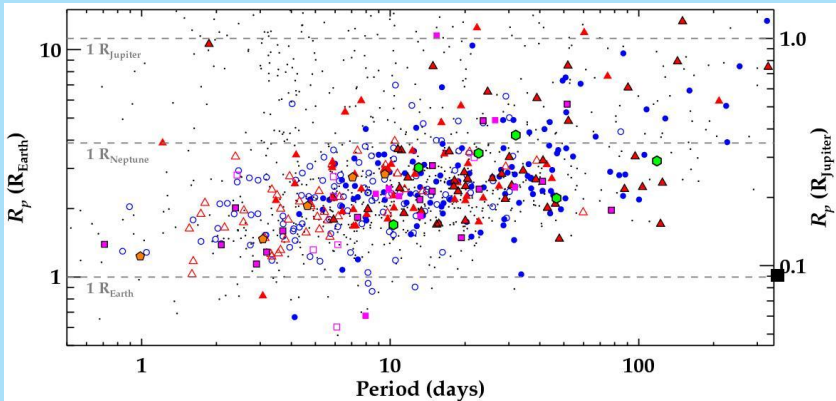
- ▶ In **exoplanet.eu** and **exoplanet.org** there are **698** exoplanets around **573** stars.
- ▶ Of this **614** have measured excentricities.
- ▶ There are **82** multiple planet systems.
- ▶ There is a long list of candidates by **Kepler** that need confirmation.



The points for **Jupiter** and **Earth** have been added.

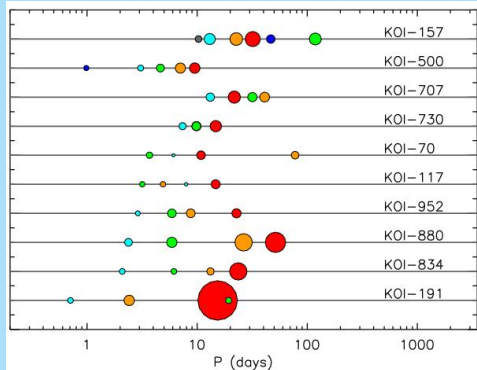
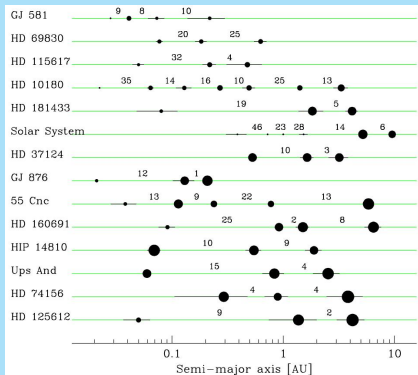


Especially for major axes larger than ~ 0.5 AU the distribution of the **excentricities** is broad.



The **Kepler** mission (launched March 2009) has now **1235** candidate planets (black square is Earth).

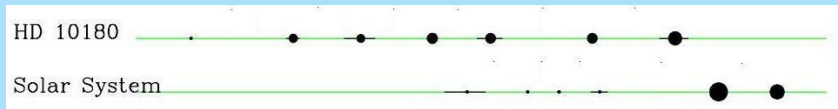
Many **multiple systems** are found.



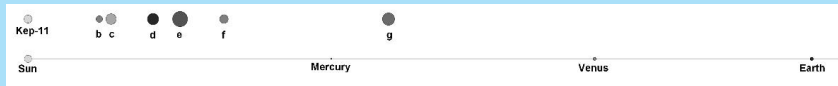
Systems with more than **3** planets from **radial velocities**.

Systems with **4 to 6** planets from **transits**.

Here is a comparison of the systems with the **largest number of planets**, compared to the Solar System.



HD10180 ($1.06M_{\odot}$, ~ 8 Gyr, $[Fe/H]\sim 0.1$) has **7** known planets.



Kepler-11 ($0.95M_{\odot}$, ~ 7 Gyr, $[Fe/H]\sim 0.0$) has **6** known planets.

These systems are still very **different** from the Solar System.

Exoplanets: conclusions

- ▶ The Sun is a very typical star, except for its mass.
- ▶ Many stars have exoplanets, but the ones we have seen have large planets close to the star and orbits are generally very eccentric.

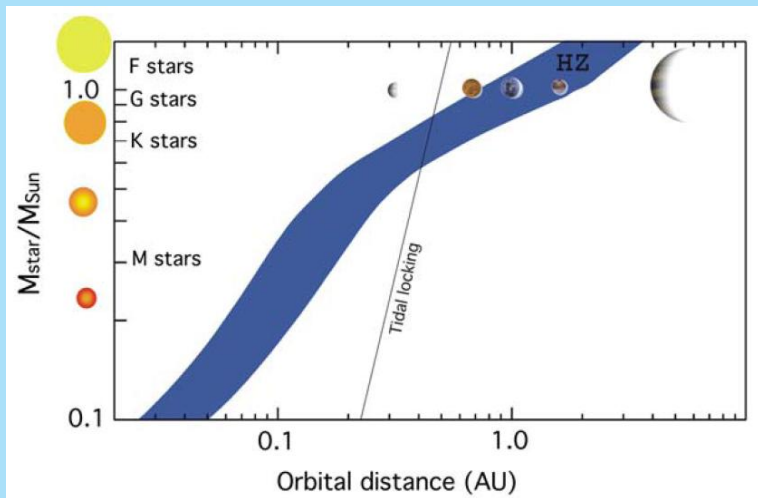
SETI: The Search for Extraterrestrial Intelligence
Crowded skies or lonely planet?
Rare Earth
Is the Solar System unique?
Conclusions

Habitable Zones
Timescales and Galactic Habitable Zone
Tides, magnetic field, plate tectonics
Earth's obliquity, Moon and Milankovitch Cycles

Rare Earth

Habitable Zones

- ▶ The **Habitable Zone** (HZ) around a star is the range in radius over which **liquid water** can be present on a **terrestrial** planet.
- ▶ At the **inner** edge of the HZ runaway greenhouse effects will vaporize the water reservoir.
- ▶ At the **outer** edge greenhouse effects are unable to keep the surface above freezing temperature.
- ▶ In the Solar System, **Venus** ($\sim M_{\oplus}$; mass of Earth) is just out of the HZ. **Mars** is within the HZ, but its mass ($\sim 0.1M_{\oplus}$) is too low to hold on to the water.
- ▶ **Tidal locking**: tidal forces keep the same side turned to the star, so uneven temperatures .



- ▶ The star has to have a sufficiently **long life-time**.
- ▶ The relevant phase is the **hydrogen-burning** phase that astronomers call **Main Sequence** stage.
- ▶ For the Sun this stage lasts of order **10 Gyr**. For a star of **1.5 M_{\odot}** this is **3.5 Gyr**.
- ▶ We then have to exclude stars more massive than about **1.5 M_{\odot}** .
- ▶ **Red dwarf stars** may be too variable (due to **starspots**).
- ▶ We then also have to exclude stars with mass less than **0.5 M_{\odot}** or so.
- ▶ Stars in the range **(0.5–1.5) M_{\odot}** are called **F, G and K-stars**.

- ▶ If this is true, we are left with some **10%** of all stars.
- ▶ The **Milky Way Galaxy** has about **a few $\times 10^9$** FGK-stars in its disk.
- ▶ Maybe half of these have insufficient amounts of '**metals**' and roughly half are **younger** than the Sun.
- ▶ Latest estimates from HARPS³³ and Kepler³⁴ are that **one-third of FGK stars have terrestrial size planets.**
- ▶ This still leaves of order **10^8 suitable stars** in the Galaxy.
- ▶ We can look in more detail in the immediate **Solar Neighborhood.**

³³Pepe *et al.*, adsabs.harvard.edu/abs/2011A%26A...534A..58P

³⁴Traub, adsabs.harvard.edu/abs/2011arXiv1109.4682T

- ▶ For the range $(0.5-1.5) M_{\odot}$ (FGK-stars) we have the following statistics.
- ▶ According to RECONS³⁵ we have within 10 pc (=32.6 ly):

stars	FGK	M	systems	single
369	70	247	256	174

- ▶ Among the 100 nearest systems (out to 6.6 pc = 21 ly) there are 8 single FGK stars.
- ▶ Of these 8 single stars, 1 G-star (Sun) and 1 K-star (ϵ Eri) are known to have planets.
- ▶ So of order 10% of stars are of suitable type and are not part of multiple systems.

³⁵Research Consortium on Nearby Stars; www.chara.gsu.edu/RECONS

Timescales³⁶

- ▶ The Earth is 4.566 ± 0.002 Gyr old.
- ▶ For the first 0.5 ± 0.4 Gyr life was impossible.
- ▶ Life exists now for $4.0^{+0.4}_{-0.2}$ Gyr.
- ▶ Therefore the **genesis of life** took place $0.1^{+0.5}_{-0.1}$ Gyr after it was possible.
- ▶ This extremely **rapid appearance of life** indicates that it may appear almost **immediately** as soon as it is possible.
- ▶ This suggests that **life is present** on all suitable planets around stars near the sun and must be **abundant**.

³⁶See Lineweaver & Davis: adsabs.harvard.edu/abs/2002AsBio...2..293L

- ▶ **Land animals** (first footprints) are only ~ 500 Myr old; **mammals** appeared only about 200 Myr ago.
- ▶ **Intelligent life like ours** took on Earth about 4.5 Gyr to emerge.
- ▶ The **median age** of solar type stars in the Solar Neighborhood is 5.4 Gyr, so that more than half the stars are older than the sun.
- ▶ These statistics suggest that **primitive life** that there are many stars that are old enough to harbor intelligent life if conditions are suitable.

There are further conditions for the development of life that relate to the **properties of the star**.

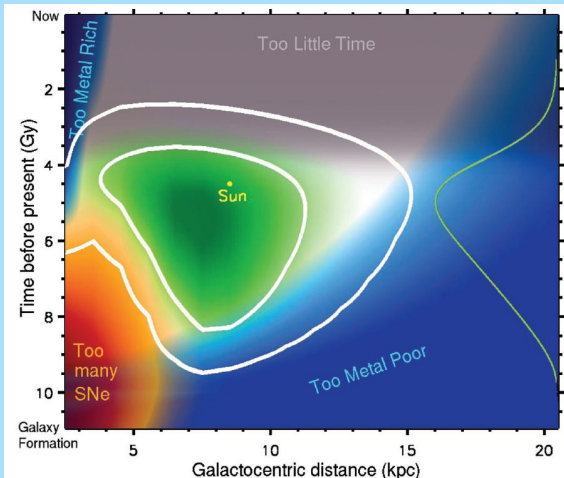
- ▶ The star needs to have sufficient **chemical elements** heavier than helium (**metals**).
- ▶ But also not too **metal-rich**, otherwise there will be too many 'hot Jupiters', destroying the terrestrial planets.
- ▶ This eliminates all stars outside the disk and in the outer region.



The Galactic Habitable Zone

- ▶ The **Galactic Habitable Zone**³⁷ is derived using a model for the **history of star formation** and **chemical enrichment** in the Galaxy.
- ▶ Limitations are
 - ▶ If **too metal-poor** then no material available for planet formation.
 - ▶ If **too metal-rich** then too many heavy Jupiters form that may migrate inward.
 - ▶ If **too many supernovae** (SNe) than too close to the Galactic Center, where SNE may destroy life.
 - ▶ If **too recently formed** then no time (4 ± 1 Gyr) for biological evolution.
- ▶ Then GHZ between **5** and **10 kpc** and **75%** of the stars in it are older than the Sun!

³⁷Lineweaver, Fenner & Gibson, adsabs.harvard.edu/abs/2004Sci...303..59L

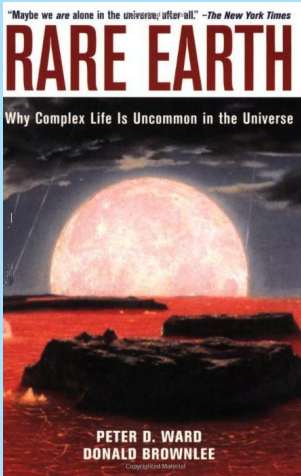


Lines show 68- and 95-percentiles; on the right the age distribution of 'life'.

Habitable zones and timescales: conclusions

- ▶ *Habitable planets seem to be possible only around FGK stars in the GHZ. That is only a few percent of all stars, but many have planets in their HZ.*
- ▶ *Then there are many stars in the Universe that are potentially suitable to harbor planets with **some form** of life on it.*
- ▶ *The rapid appearance of life on Earth suggests this is a very common occurrence.*
- ▶ *However, complex intelligent life takes very much longer to appear. But the majority of nearby stars is older than the Sun.*

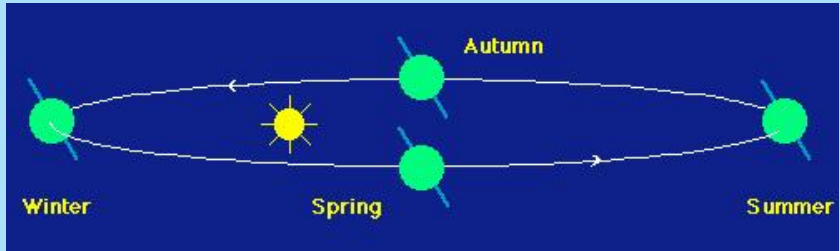
Tides, magnetic field, plate tectonics



- ▶ Ward & Brownlee argue in **Rare Earth** (2000) that it is necessary for intelligent life to form that the planet:
 - ▶ has **continental drift, tides and a magnetic field**, which requires a **molten core and liquid H₂O**.
 - ▶ is **shielded from major impacts by asteroids and comets** by a giant planet like **Jupiter**.
 - ▶ has a **long-term stable climate**, which requires a rather **large Moon**.
- ▶ They claim these things rare.
- ▶ I will not address the geological issues.

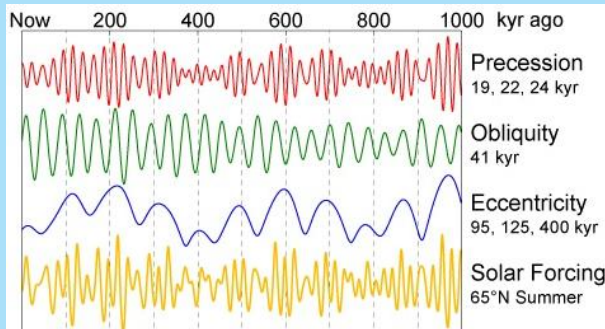
Earth's obliquity, Moon and Milankovitch Cycles

The seasons and the amount of sunlight received (the **solar irradiation**) depend on the characteristics of the Earth's orbit and orientation.



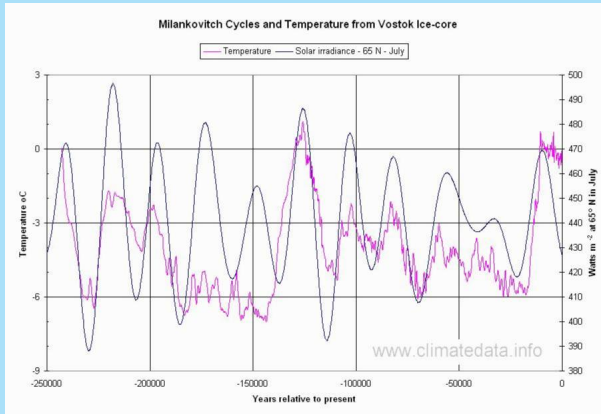
- ▶ The Earth moves around the Sun in an **elliptical** orbit with **excentricity** $e = 0.017$ and with the **aphelion** occurring around **January 3**.
- ▶ The Earth's rotation axis makes an angle with the plane of the orbit (the **obliquity**) of $\epsilon = 23^{\circ}44$.
- ▶ In the northern hemisphere the seasons last **89** (winter), **93** (spring), **93** (summer) and **90 days** (fall).
- ▶ The solar irradiation in **aphelion** is about **7%** larger than at **perihelion**.
- ▶ Most **land mass** (which reacts most quickly to such changes) at northern latitudes.
- ▶ Due to interaction with the Moon and other planets, the Earth's rotation axis **precesses**, while **obliquity** ϵ and orbit **excentricity** e vary.

- Variations are $e = 0.005 - 0.058$, $\epsilon = 22^\circ 1' - 24^\circ 5'$ and precession has period $\sim 26,000$ years.



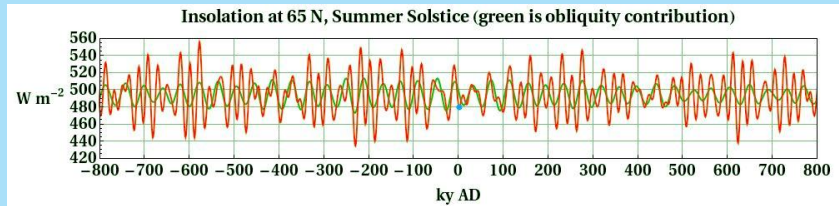
- The 'Milankovitch cycles'³⁸ force the temperature fluctuations and may be '*pacemakers of the Ice Ages*'.

³⁸Milutin Milanković (1879–1958), a Serbian civil engineer and geophysicist.



Small changes in the Earth's orbit produce large effects in temperature.

The variations are **relatively slow** (order 10^4 yrs).



The **obliquity** of the Earth (green) is an important factor.

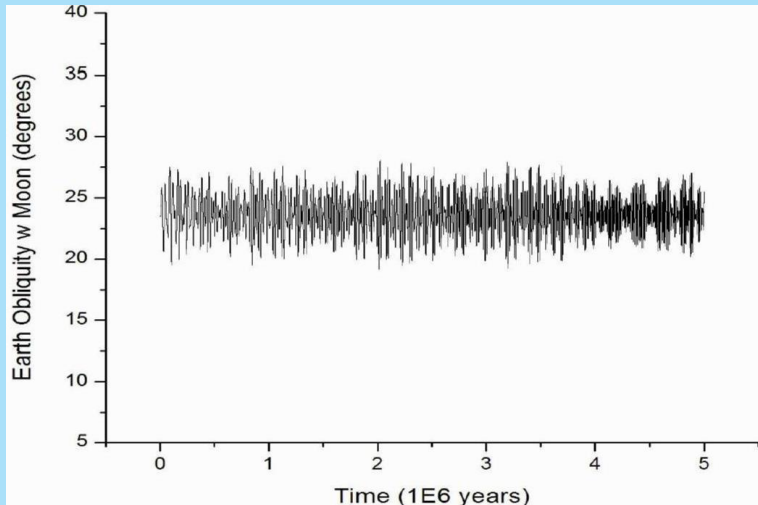
However, its variation ($\epsilon = 22^\circ 1' - 24^\circ 5'$) is **small** as a result of the **stabilizing effect of the Moon**.

- ▶ It has been realized for some time that the presence of a **large Moon** stabilizes the Earth's rotation axis.
- ▶ If there were no Moon the dynamics of the obliquity would be **chaotic** and it would vary between 0° and 85° ³⁹.
- ▶ This can be understood as the result of the larger **angular momentum** (factor about **3.5**) of the Earth-Moon system than that of the Earth rotation alone.
- ▶ Here are some recent calculations over the last **5 million years**⁴⁰.

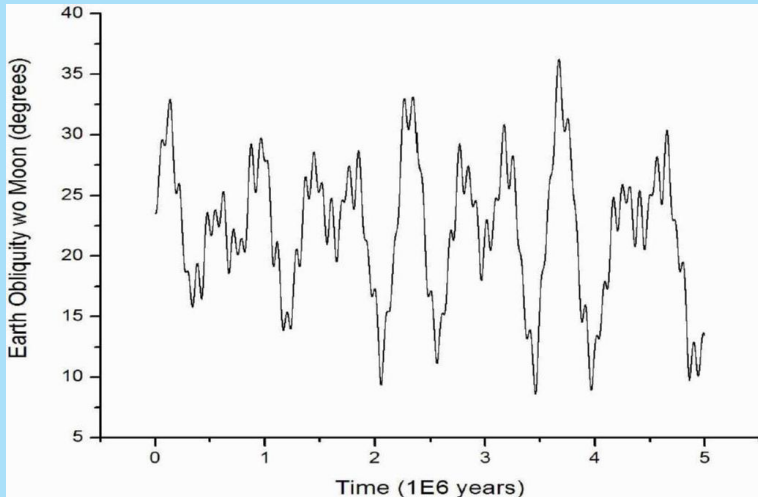
³⁹Laskar *et al.*, adsabs.harvard.edu/abs/1993Natur.361..615L

⁴⁰A.E. Girkin: etd.ohiolink.edu/view.cgi?acc_num=miami1133292203

The variation of the obliquity **with** Moon.



The variation of the obliquity **without** Moon.



So we find:

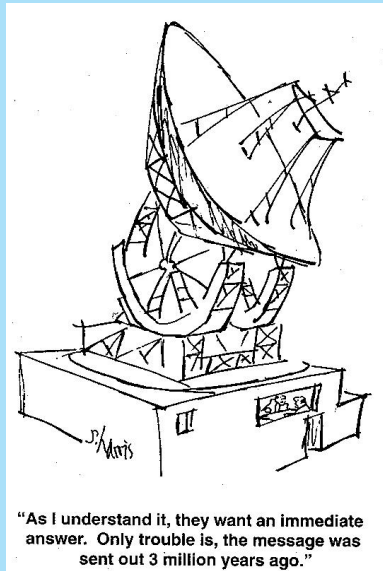
- ▶ Planets are common, but the systems that have been discovered are almost all very different from the Solar System.
- ▶ Complex life requires a terrestrial planet in the habitable zone of an FGK-star in the GHZ that is, moderately metal-rich and at least 4 Gyr or so old, and a relatively massive Moon to stabilize its axis.
- ▶ There are large numbers of stars that are suitable for life to appear, and many are old enough for evolution into intelligent, technological civilizations as on Earth.
- ▶ If Fermi's paradox is correct the latter must occur very seldomly.

If intelligent life as on Earth is so rare, what then is so special about the Solar System?

INTERMISSION

This presentation is available on

[www.astro.rug.nl/~vdkruit/jea3/
homepage/KNG_SETI.pdf](http://www.astro.rug.nl/~vdkruit/jea3/homepage/KNG_SETI.pdf)

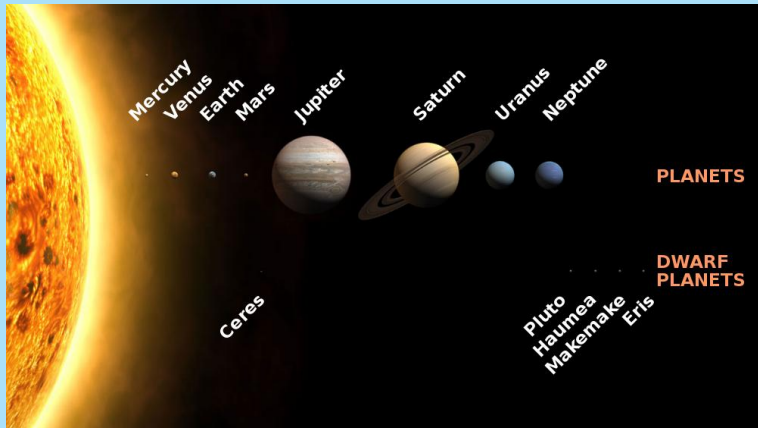


Is the Solar System unique?

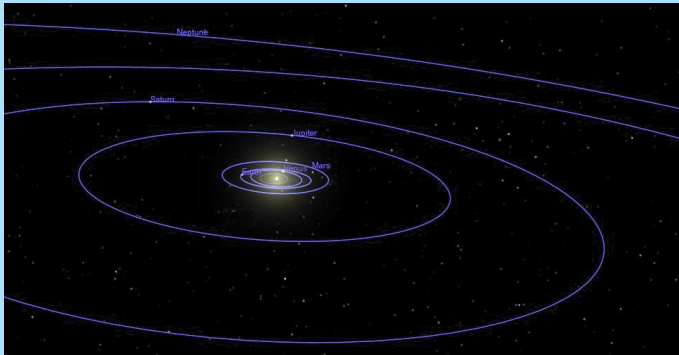
Characteristics of the Solar System

- ▶ The **Solar System** consists of **eight** planets.
 - ▶ The **inner** or **terrestrial** planets are small and rocky and consists mainly of **metals** and **silicates**.
 - ▶ The **outer** of **Jovian** planets are large and mostly **gaseous** (CH_4 , NH_3 , etc.), but are believed to have solid cores.
- ▶ The move around the Sun in **elliptical, but nearly circular**, **nearly co-planar** orbits, all going in the same direction.

This figure show their **relative sizes**.

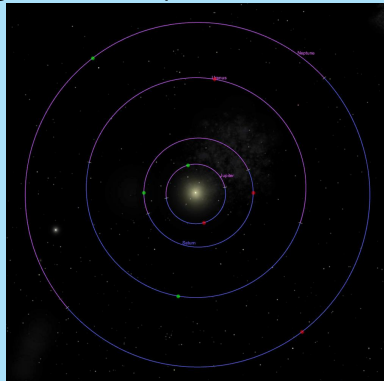
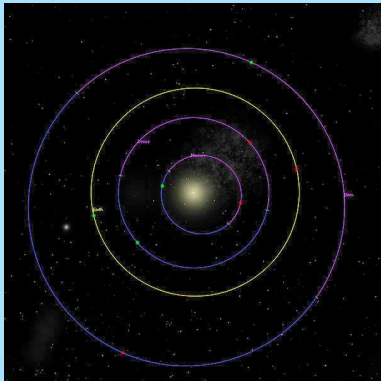


Here we see the **orbits** on **relative** scales.



Between Mars and Jupiter we find the **asteroid** belt with **Ceres** the largest.

Left the **inner** and right the **outer** planets.

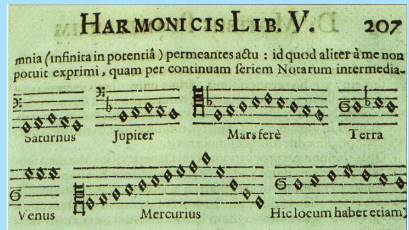
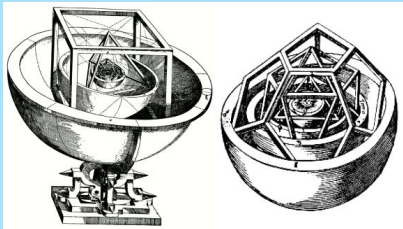


Except maybe **Mercury** and **Mars** the orbits are **not very excentric**.

- ▶ The **Planetary System** appears simple to describe. It consists of a **point mass** (Sun), with **eight much smaller masses** moving around it in **nearly circular, nearly co-planar** orbits.
- ▶ These orbits obey **Kepler's** laws and this is well understood in terms of Newton's laws of gravity.
- ▶ But there are **gravitational** interactions between the planets (ignoring all other bodies as comets, asteroids, etc.).
- ▶ **Can this be stable over Gyrs?** Say for order 10^9 – 10^{10} orbits?
- ▶ Many physicists (**Newton**, etc.) wondered about this. In particular **Laplace** and **Lagrange** thought they proved this to be the case.
- ▶ But is this also found in **numerical experiments?**

Titius-Bode law, resonances, stability and chaos

- ▶ People have for a long time looked for regularity in the **distribution of distances of the planets** from the Sun.
- ▶ Actually **Johannes Kepler** thought it had to do with the five **Platonic solids** or **Pythagoras' Harmony of the Spheres**⁴¹.



⁴¹In: *Mysterium cosmographicum* (1596), and *Harmonices Mundi* (1619).

An old empirical 'law' is that of Titius-Bode (eighteenth century) for the semi-major axes:

$$a = 0.4 + 0.3 \times 2^m$$

for

$$m = -\infty, 0, 1, 2, \dots, 6.$$

Planet	a_{real}	$a_{\text{T-B}}$
Mercury	0.39	0.4
Venus	0.72	0.7
Earth	1.00	1.0
Mars	1.52	1.6
Ceres	2.77	2.8
Jupiter	5.20	5.2
Saturn	9.54	10.0
Uranus	19.2	19.6
Neptune	30.1	38.8

- ▶ So, there is **regularity** in the sizes of the orbits.
- ▶ It is also a regularity in terms of the **periods** of the orbits through **Kepler's third or harmonious law**:

$$\frac{a^3}{T^2} = \frac{GM_{\odot}}{4\pi^2}.$$

- ▶ The ratios of subsequent planetary periods can be expressed in terms of a **ratio of small integers** and thus translate into **resonances** between these periods.
- ▶ And (by the way) also in **mean orbital velocities**:

$$V = (2\pi GM_{\odot})^{1/3} T^{-1/3}.$$

and this is the basis for Kepler's Harmony of the Spheres in terms of **harmonious musical intervals**.

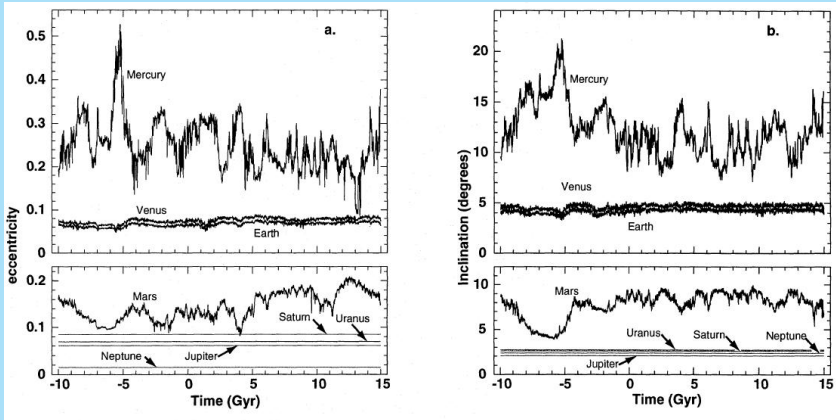
We have almost precise 'Mean Motion Resonances' (MMR):

Mercury – Venus	0.40	2 : 5
Venus – Earth	0.62	3 : 5
(Earth – Mars)	0.53	1 : 2
(Mars – Ceres)	0.41	2 : 5
Ceres – Jupiter	0.39	2 : 5
Mars – Jupiter	0.16	4 : 25
Jupiter – Saturn	0.40	2 : 5
Saturn – Uranus	0.35	1 : 3
Jupiter – Uranus	0.14	1 : 7
Uranus – Neptune	0.51	1 : 2

- ▶ Such **Mean Motion Resonances** are very common.
- ▶ E.g. **de Sitter's** model for the **Galilean satellites of Jupiter** was built on a basic model with **1 : 2 : 4** resonance between the orbits of the inner three.
- ▶ Resonances occur due to cyclic recurrence of **mutual gravitational disturbances** of the orbits.
- ▶ This **'locks'** the planets in these resonant orbits.
- ▶ They also work in **strongly elliptical** orbits, but only when the directions of perihelia are very different.
- ▶ It is thought to provide **stability** to the Solar System.
- ▶ The classical study is the paper **'Large-scale chaos in the Solar System'** in **1994** by Jacques Laskar⁴².

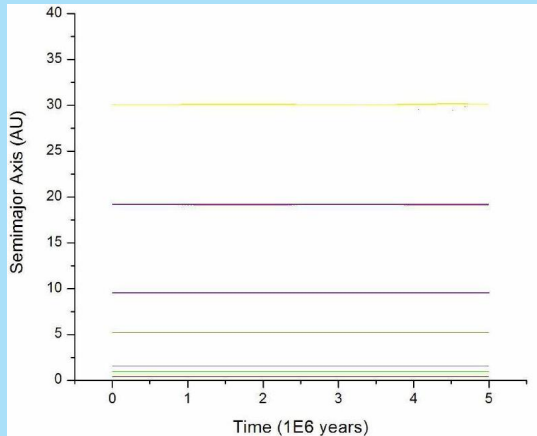
⁴²adsabs.harvard.edu/abs/1994A%26A...287L...9L

This classical figure from Laskar (1994) shows the **maximum values** (in **10 Myr** intervals) of e and i in a simulation (1 day CPU per Gyr) over **10 Gyr** in the past to **15 Gyr** into the future.



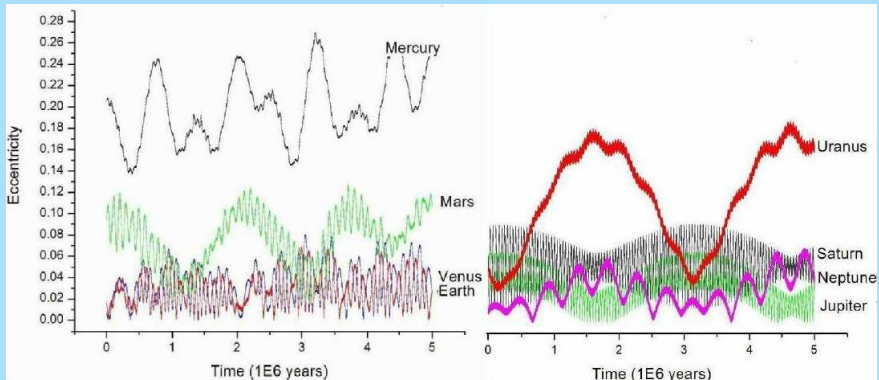
Here is a recent simulation (over 5 Myr) of the time evolution of the orbits of the planets⁴³.

The semi-major axes do not show any significant change with time.

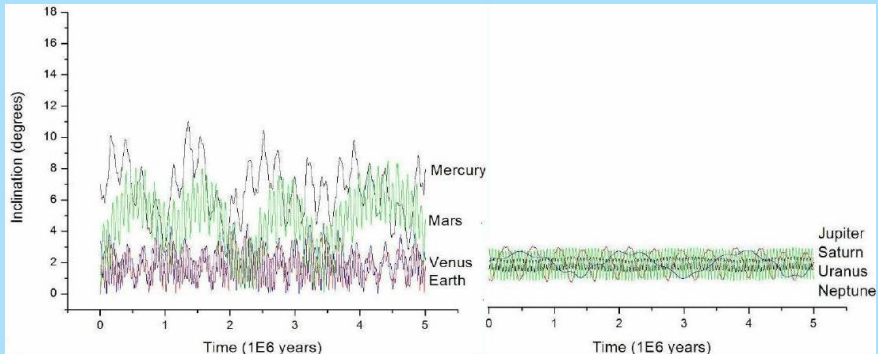


⁴³Girkin: etd.ohiolink.edu/view.cgi?acc_num=miami1133292203

Excentricity



Inclination



- ▶ The **orbits** themselves are relatively **stable**, but the motions are **chaotic** in the sense that **positions** of planets quickly become **unpredictable**.
- ▶ This is expressed by the **Lyapunov time**, which is the timescale of **exponential** growth of deviations.⁴⁴
- ▶ If we change an initial position by Δx_0 and this gives rise to a change Δx_t after time t such that $\Delta x_t \sim \exp(\lambda/t)\Delta x_0$, then the **Lyapunov time** is $1/\lambda$.
- ▶ The Lyapunov times of the planets range from **a few** to **a few hundred Myrs**.

⁴⁴Laskar: A shift of **150 m** of the Earth's position **500 Myrs** in the future corresponds to a change in the initial position of less than a Planck length ($l_p = \sqrt{\hbar G/c^3} = 1.6 \times 10^{-35} \text{ m}$), i.e. **38 orders of magnitude**.

- ▶ **Resonances** can stabilize orbits, but not necessarily.
- ▶ In the **Solar System** they seem to provide a **long-term stability** over Gyrs and **lock** the planets in their orbits.
- ▶ The **resonances** have some width and **overlapping** resonances⁴⁵ make the planetary **positions** in their orbits **chaotic**.
- ▶ Other resonances, such as between the **rotation rates of the perihelia** may play a role also.⁴⁶

⁴⁵E.g., the Venus-Earth resonance (0.62) is between 3:5 (0.60) and 5:8 (0.625).

⁴⁶The perihelia of **Mercury** and **Jupiter** both rotate at about **1.5 degrees every 1000 years**.

Stability of Solar System: conclusion

- ▶ *The Solar System is chaotic with Lyapunov times between a few to some hundreds of Myrs, but the planetary orbits themselves are rather stable over very long timescales, up to Gyrs.*

Planet formation

- ▶ Planetary systems like the **Solar System** form from a **proto-planetary disk of gas and dust** around the proto-star.
- ▶ It contains most of the **angular momentum** of the system.
- ▶ Such disks have been observed around other **young stars** and are very common.
- ▶ Planets form through **accretion** (giant planets maybe through **gravitational instability**).
- ▶ The inner part of the Solar System was swept of **light gases** (H_2 , He , H_2O , CH_4 , etc.) out to the **frost line** (4–5 AU). What remained was dust grains with **metals and silicates**.

- ▶ When the disk is still massive, a planet will experience unequal **torques** from the inner and outer parts and will lose **angular momentum**.
- ▶ The planet will then **migrate inwards** on timescales of order 10^5 **years** (**Type I migration**)
- ▶ When the planet is massive (compared to the disk density) it will open up a **gap** in the disk. The disk rotates more slowly because of its **pressure**.
- ▶ Due to the disk's **viscosity** the gap and planet then **drift inwards** on timescale of order 10^6 **years**. (**Type II migration**)
- ▶ **Hot Jupiters** are believed to be the result of **Type II** migration.

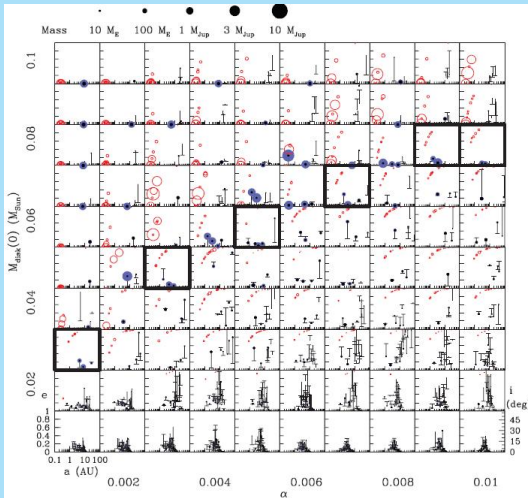
- ▶ In the **Nice Model** the **Jovian** planets form and leave a dense, massive **disk** of rocks and icy planetesimals.
- ▶ In the late stages **Saturn**, **Uranus** and **Neptune** are moving outward under the influence of **Jupiter**.
- ▶ In this process they scatter this primordial population of **icy planetesimals** and send some of them inward.
- ▶ This explains the **Late Heavy Bombardment**, as suggested by **Lunar craters** and the **Apollo** samples, when the Solar System was **600 – 700 Myrs** old.
- ▶ It also explains the occurrence of **water**, **carbon** and maybe the whole **atmosphere** on Earth.⁴⁷

⁴⁷Delsemme, adsabs.harvard.edu/abs/2000Icar..146..313D

Uniqueness of the Solar System

- ▶ When the disk is more **massive**, many giant planets form (and migrate).
- ▶ When the disk is more **viscous** it will live longer before the gas is removed (by accretion and/or evaporation).
- ▶ So, there is a **balance between mass of disk and its viscosity**.
- ▶ Detailed models of the resulting planetary systems have been performed.⁴⁸
- ▶ Planetary systems like our own form only under **very special circumstances**, when only a few giant planets can form before the disk is removed.

⁴⁸Thommes *et al.*, adsabs.harvard.edu/abs/2008Sci...321..814T



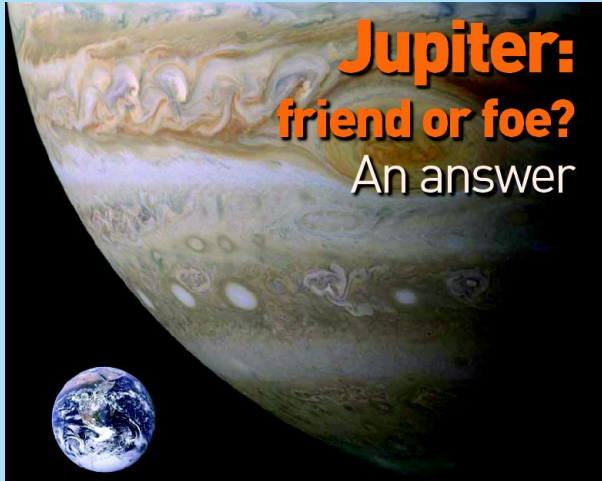
- ▶ **Viscosity** of the disk on the horizontal axis and its **mass** on the vertical one.
- ▶ **Top-left**: giant planets form early, migrate (red circles) and orbits become very excentric.
- ▶ **Lower-right**: No gas giants form.
- ▶ Only **6** out of **100** simulations produce systems like ours.

Jupiter

- ▶ It has long been thought that **Jupiter acts as a shield** against impacts of minor bodies.⁴⁹
- ▶ This can be either **comets** or **asteroids**.
- ▶ Such impacts would result in **overly frequent mass extinctions** or even **global sterilization**.
- ▶ The presence of **Jupiter** would be one of the unusual circumstances in the **Rare Earth hypothesis**.
- ▶ Recent calculations⁵⁰ indicate that Jupiter only shields us from **long-period comets**, but not from asteroids and short-period comets.

⁴⁹Wetherill, adsabs.harvard.edu/abs/1994Ap%26SS.212...23W

⁵⁰Jones & Horner, adsabs.harvard.edu/abs/2010A%26G....51f..16H



So it is likely that **Jupiter is not a protecting friend.**

Occurrence of Earth-Moon systems

- ▶ If the Moon stabilizes the Earth rotation axis, the question arises **how common Earth-Moon systems are**.
- ▶ The Moon was probably formed by a **collision** of the young Earth with another **Mars-size proto-planet**.
- ▶ This process has been simulated as a function of possible **collision parameters**.⁵¹
- ▶ This results in **roughly one in ten** terrestrial planets having a massive moon like Earth.
- ▶ So: **not common, probably rare, maybe extremely rare**.

⁵¹Elser *et al.*, adsabs.harvard.edu/abs/2011arXiv1105.4616E

Stability and Milankovitch cycles.

- ▶ **Milankovitch cycles** probably should be very minor for complex and intelligent life to develop.
- ▶ There is a study⁵² that uses simulations to study the **probability of slow** cycles.
- ▶ An important 'clock' in the cycles is the **precession rate** which governs the **frequency** of the **obliquity** variations.
- ▶ This is calculated using simulations of Earth-Moon systems with different combinations of **Moon mass** and **total angular momentum**.
- ▶ Out of **20,900** combinations **99.2%** have **faster** precession rates than the Earth's axis.

⁵²Waltham, adsabs.harvard.edu/abs/2011AsBio..11..105W

- ▶ Next the **architecture of the Solar System** is altered by moving the orbit of one planet.
- ▶ Then the **excentricity changes** of the other planetary orbits are calculated.
- ▶ For **Jupiter** and **Mars** have positions such that the rate of change of excentricities is almost always **increasing**.
- ▶ The probability that this arises by chance is of order **3%**.
- ▶ **Commensurability** is studied by putting planets in precise adjacent resonances n/m with $n=1-6$ and $m=2-7$.
- ▶ Then the **mean frequency** of excentricity variations of the orbits is calculated using **10,000** simulations.

- ▶ The system closest to the actual Solar System is:

TABLE 3. NEAREST APPROXIMATION TO THE SOLAR SYSTEM ASSUMING THAT ORBITAL PERIODS OF ADJACENT PLANETS ARE IN THE EXACT RATIO $n:m$ AND THAT NEPTUNE'S LOCATION IS FIXED TO SET THE OVERALL SCALE

<i>Inner planet</i>	<i>Outer planet</i>	<i>n</i>	<i>m</i>	<i>a true (AU)</i>	<i>a predicted (AU)</i>
Mercury	Venus	2	5	0.39	0.36
Venus	Earth	3	5	0.72	0.67
Earth	Mars	1	2	1.00	0.94
Mars	Jupiter	1	6	1.52	1.50
Jupiter	Saturn	2	5	5.20	4.94
Saturn	Uranus	1	3	9.54	9.11
Uranus	Neptune	1	2	19.19	18.94
Neptune				30.07	30.07

- ▶ Of the 10,000 cases, only 3.9% have slower mean frequencies than this one.

Waltham concludes that the chance that these three coincidences occur by chance is less than 10^{-5} .

Uniqueness of Solar System: conclusions

- ▶ An Earth-Moon system is exceptional, but with slow precession like in our case is extremely rare.
- ▶ Our Solar System appears to have an architecture that is the exception rather than the rule.
- ▶ The existence of giant planets like Jupiter and Saturn in almost circular orbits, locked in Mean Motion Resonance provides a Solar System that is stable over Gigayears.
- ▶ Milankovich cycles in our Solar System are exceptionally slow due to the precise location of the planets.

Conclusions

- ▶ *Fermi's paradox suggests that intelligent life in the Universe is highly exceptional.*
- ▶ *The anthropic principle is consistent with this.*
- ▶ *Planetary systems discovered up till now are almost all very different from the Solar System.*
- ▶ *Life as on Earth is probably possible only around stars with a mass between 0.5 and 1.5 times that of the Sun, a metallicity similar to of the Sun, an age of billions of years and at moderate distances from the Galactic Center.*
- ▶ *There are probably many Earth-like planets in Habitable Zones around such stars, although many on excentric orbits.*
- ▶ *Primitive life may then be abundant in the Universe.*

- ▶ *Complex and intelligent life may require stable conditions over long timescales and the Solar System is exceptional in providing that.*
- ▶ *The Solar System is unusual in providing long-term stable orbits, resulting from by the presence of a few giant planets in almost circular orbits, locked in orbital resonances.*
- ▶ *The long-term stability of the Earth's climate derives from the presence of the Moon.*
- ▶ *The stable conditions on Earth for possible evolution towards complex life requires unusually slow Milankovitch cycles, which derive from both the precise properties of the Earth-Moon system and the detailed architecture Solar System.*
- ▶ *The chance of success of SETI will be extremely small, but it remains important to pursue it.*

Thank you for your attention

This presentation is available on

www.astro.rug.nl/~vdkruit/jea3/homepage/KNG_SETI.pdf

Zie ook mijn boek bespreking in de 'Academische Boekengids':

*'Dan zouden we het toch gehoord hebben'*⁵³

Of de uitgebreidere XL-versie op

www.astro.rug.nl/~vdkruit/jea3/homepage/SETIXL.pdf

⁵³www.academischeboekengids.nl/do.php?a=show_visitor_artikel&id=1219

Suggestions for further reading

- ▶ The six books that I review in my article in the [Academische Boekengids](#).
- ▶ References given in that article and in footnotes in this presentation.
- ▶ Some more selected articles are listed in the next frames.

Note: I refer often to papers in the astronomical literature. The [NASA Astrophysics Data System \(ADS\)](#) lists the full astronomical literature and provides electronic (e.g. pdf) scans of all astronomical papers from the nineteenth century up to the introduction of electronic journal subscriptions. I have given the URL of the papers in ADS, and when only available for subscribers to journals ADS gives (when available) the URL to the papers on [astro-ph](#), the astrophysics preprint server on [arXiv.org](#).

Selected articles:⁵⁴

A set of 'education' papers from ESA's **Terrestrial Planet Science Advisory Team** in *Astrobiology*, volume 10, (2010):

- ▶ Fridlund & Lammer: **The astrobiology habitability primer**, adsabs.harvard.edu/abs/2010AsBio..10....1F
- ▶ Fridlund *et al.*: **The search for worlds like our own**, adsabs.harvard.edu/abs/2010AsBio..10....5F
- ▶ Alibert *et al.*: **Origin and formation of planetary systems**, adsabs.harvard.edu/abs/2010AsBio..10...19A
- ▶ Dvorak *et al.*: **Dynamical habitability of planetary systems**, adsabs.harvard.edu/abs/2010AsBio..10...33D
- ▶ Lammer *et al.*: **Geophysical and atmospheric evolution of habitable planets**, adsabs.harvard.edu/abs/2010AsBio..10...45L

⁵⁴Some of the below are only available with electronic journal subscriptions.

- ▶ Brack *et al.*: **Origin and evolution of life on terrestrial planets**, adsabs.harvard.edu/abs/2010AsBio..10...69B
- ▶ Grenfell *et al.*: **Co-evolution of atmospheres, life, and climate**, adsabs.harvard.edu/abs/2010AsBio..10...77G
- ▶ Kaltenegger *et al.*: **Deciphering spectral fingerprints of habitable exoplanets**, adsabs.harvard.edu/abs/2010AsBio..10...89K
- ▶ Kaltenegger *et al.*: **Stellar aspects of habitability - Characterizing target stars for terrestrial planet-finding missions**, adsabs.harvard.edu/abs/2010AsBio..10..103K
- ▶ Fridlund *et al.*: **A Roadmap for the detection and characterization of other Earths**, adsabs.harvard.edu/abs/2010AsBio..10..113F
- ▶ Schneider *et al.*: **The far future of exoplanet direct characterization**, adsabs.harvard.edu/abs/2010AsBio..10..121S

Some more selected articles:

- ▶ Tarter: **The evolution of life in the Universe: are we alone?**,
adsabs.harvard.edu/abs/2007HiA....14...14T
- ▶ Cirkovic: **Fermi's Paradox - The last challenge for Copernicanism?**,
adsabs.harvard.edu/abs/2009SerAJ.178....1C
- ▶ Lammer *et al.*: **What makes a planet habitable?**,
adsabs.harvard.edu/abs/2009A%26ARv..17..181L
- ▶ Ehrenfreund *et al.*: **Astrophysical and astrochemical insights into the origin of life**, adsabs.harvard.edu/abs/2002RPPh...65.1427E
- ▶ Laskar: **Large scale chaos and marginal stability in the solar system**,
adsabs.harvard.edu/abs/1996CeMDA..64..115L