

Intelligent leven in het Heelal

crowded skies, lonely planet or rare earth?

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SETI: The Search for Extraterrestrial Intelligence

Are we alone?

SETI, the Search for Extraterrestrial Intelligence

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SETI: The Search for Extraterrestrial Intelligence

Are we alone?

So many stars... so many galaxies.



$$10^{11} \times 10^{12} = 10^{23}$$

- ▶ It is often stated that the **vastness of the Universe** and the **enormous number of stars** it contains, ensures that there must be numerous places in the Universe **suitable for life** to exist.
- ▶ The '**Copernican Cosmological Principle**' (or the **assumption of mediocrity**) states that we are not special in any sense.
- ▶ So, obviously, the Universe must contain lots of planets with (carbon-based) life, including many instances of **intelligent life** like on Earth.

- ▶ It is certainly possible there are many planets where conditions are favorable for life to originate, so that **primitive life would be prolific**.
- ▶ But is it so obvious that this will unavoidably evolve into complex and intelligent forms of life?
- ▶ Maybe **very special** conditions are required for this.
- ▶ Arthur C. Clarke (1917–2008):
'Sometimes I think we're alone; sometimes I think we're not. In either case, the thought is staggering.'

SETI, the Search for Extraterrestrial Intelligence

- ▶ There is a long history that I have no time to review.
- ▶ The first serious publication was by **Giuseppe Cocconi & Philip Morrison** in a paper in *Nature* in 1959¹.
- ▶ They proposed to use the frequency of the **21-cm neutral hydrogen line** as the most natural one.
- ▶ After the **Project Ozma** in 1960 many more efforts have been made to detect radio signals from **nearby, sun-like stars**.
- ▶ Also messages have been sent.

¹'Searching for interstellar communications'; www.coseti.org/morris_0.htm

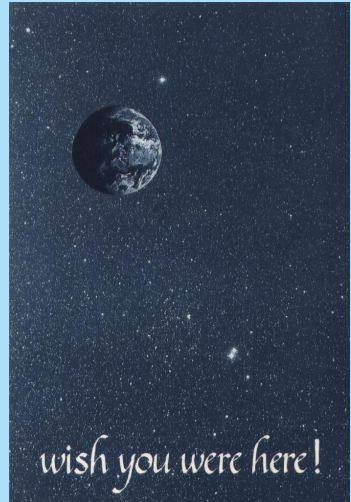
Current situation



- ▶ Currently the efforts are concentrated in the privately funded **SETI Institute**² in Mountain View, California (founded 1984).
- ▶ *"The SETI Institute's Mission is to explore, understand and explain the origin, nature and prevalence of life in the universe."*
- ▶ Prominent scientists are **Jill Tarter** and **Seth Shostak**.

²www.seti.org

- ▶ **Seth** worked for a number of years in Groningen as a radio astronomer at the **Kapteyn Institute**.
- ▶ He started a computer animation company **DIGIMA**.
- ▶ In **1988** he won the **Wubbo Ockels Prize** of the city of Groningen.
- ▶ He was particularly known for his original use of language and puns.



- ▶ The SETI Institute operates with the University of California at Berkeley, the **Allen Array**³ at Hat Creek Observatory in Northern California.
- ▶ Currently it has **42 6-meter** elements.
- ▶ The idea was ultimately **350** elements, but funding is difficult.

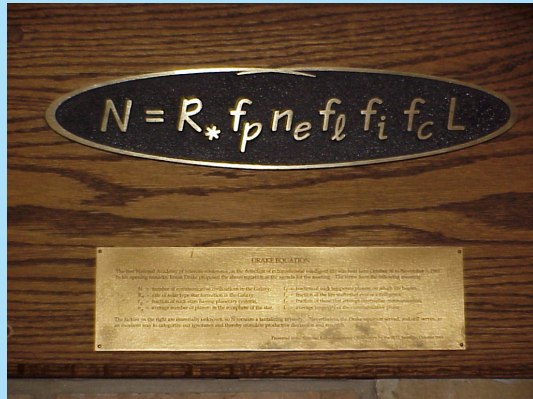


³Named after Paul Allen, co-founder of Microsoft, who provided **25M\$**.

Crowded skies or lonely planet?

Drake equation

Plaquette in the **National Radio Astronomy Observatory** (Charlottesville, VA) on the wall where Drake first wrote this equation in **1961** on a blackboard.



The **Drake equation** estimates the number of civilizations in the Milky Way that want to communicate.

- ▶ Take the **number of (suitable) stars that are being formed in the Galaxy per year** and multiply by:
 - ▶ fraction that has a **planetary system**;
 - ▶ number of **habitable** planets in a system;
 - ▶ fraction on which **life originates**;
 - ▶ fraction on which then **intelligent life** develops;
 - ▶ fraction of these civilizations that are interested in **communication**.
- ▶ That leaves you with the **number of new civilizations per year that send out radio messages**.

- ▶ The number if (suitable) stars forming in the galaxy is usually taken to be **between 1 and 10** per year.
- ▶ The other factors are **completely unknown** but are assumed to be of order **0.1 to 1**.
- ▶ Then there are **between 0.1 and 10 new civilizations per year** starting sending out signals.
- ▶ Multiply that by the **mean lifetime** (how long they keep doing that) and you get the number of such civilizations in the Galaxy.
- ▶ **Drake, Sagan**, etc. used to assume values of **10^5 to 10^6** years.
- ▶ *The nearest civilization then is of order (a few) hundred lightyears away.*

How realistic is all this?

- ▶ I will come back to the question of frequency of (habitable) planets later.
- ▶ Probably primitive life (archaea, prokaryotes, single-cell eukaryota) originates under many circumstances and is therefore abundant in the Universe.
- ▶ But evolution into life on land, animals and even mammals may require very special conditions.
- ▶ Even if intelligent life forms, it may not develop into technological civilizations.

Drake equation: conclusions

Intelligent life, that SETI searches for⁴ is abundant in the universe:

- ▶ if most stars have planets suitable for life to occur;
- ▶ if life will inevitably form on these planets and as a matter of course will develop into intelligent life; and
- ▶ if civilizations interested in communication will survive for very long times.
- ▶ The equation expresses only our ignorance and does not tell us anything new.

⁴Christopher McKay: *'Intelligence is now defined as having the ability to build a radio telescope.'*

Fermi paradox

- ▶ The story goes that, around 1950 in Los Alamos, Enrico Fermi, Edward Teller and a few atomic scientists were discussing extraterrestrial life over lunch.
- ▶ They conjectured that advanced civilizations should be able to colonize the Galaxy (self-replicating robots?) in 10^5 to 10^6 years.
- ▶ Fermi is supposed to have asked: *"So, where is everybody?"*
- ▶ If there are that many habitable planets and intelligent civilizations, why has none of these visited Earth?
- ▶ This is Fermi's Paradox.
- ▶ It has been made more prominent by Michael H. Hart.

- ▶ He earned a Ph.D. at Princeton with **Lyman Spitzer** in **1972** at age 40 (on treatment of convection in stars).
- ▶ Became a **Carnegie Fellow** at the **Hale Observatories** (Mt. Wilson and Palomar) in Pasadena, CA.
- ▶ There he turned his interest to **planetary atmospheres** and **life in the Universe**.
- ▶ I was a Carnegie Fellow at the same time and the matter was discussed extensively at Hale Observatories.
- ▶ He published '*Explanation for the Absence of Extraterrestrials on Earth*' in **1975**⁵ (after his fellowship ended).
- ▶ Later he became interested in history and an ultra-conservative Republican, and he even proposed racial segregation.

⁵adsabs.harvard.edu/abs/1975QJRAS..16..128H

Fermi(-Hart) paradox: implications

*"The Fermi paradox is the apparent contradiction between high estimates of the probability of the existence of extraterrestrial civilizations and the lack of evidence for, or contact with, such civilizations."*⁶

Although ways out have been suggested (e.g. zoo hypothesis⁷), the implication of the paradox would be that intelligent extraterrestrial life is extremely rare.

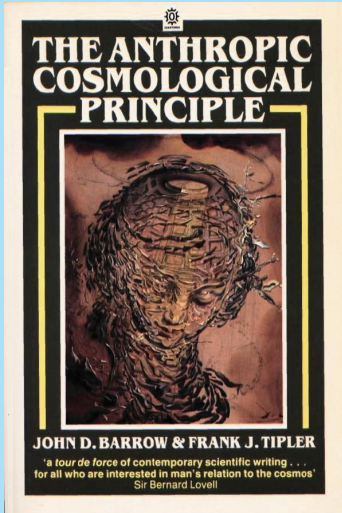
⁶From Wikipedia

⁷Or the 'Prime Directive' of *Space Trek*: *"There can be no interference with pre-warp civilizations"*.

Anthropic cosmological principle

- ▶ The **anthropic principle** originated in physics and cosmology.
- ▶ We live in a universe that is **fine-tuned** in the sense that **fundamental constants in physics** have to have values in a small range for life as we know it to exist.
- ▶ An example of 'fine tuning' is the existence of a semi-stable, resonant excited state of otherwise very unstable **beryllium-8** (^8Be) that
 - ▶ allows '**helium burning**' ($^4\text{He} \rightarrow ^{12}\text{C}$) at just the temperature and density that occurs during late stages of stellar evolution,
 - ▶ but which does not work in the early universe such that **cosmological nucleosynthesis** stops at He (except for a tiny bit of Li).
 - ▶ Without this **coincidence** life would not be possible.

The anthropic principle was introduced by **Brendon Carter**⁸ in 1974.



- ▶ It has been extensively treated in a book by **Barrow & Tipler** and extended to various variants.
- ▶ *"The observed values of all physical and cosmological quantities are not equally probable but they take on values restricted by the requirement that there exist sites where carbon-based life can evolve and by the requirements that the Universe be old enough for it to have already done so."*

⁸adsabs.harvard.edu/abs/1974IAUS...63..291C

- ▶ Barrow & Tipler show that the order of magnitude of the **lifetime of a star** that radiates through '**hydrogen-burning**', can be expressed in terms of **fundamental physical constants**⁹:

$$T_{\star} \sim \frac{\alpha \hbar}{\alpha_G \beta^2 m_p c^2} \sim 10^{10} \text{ years.}$$

- ▶ So the fundamental physical constants *dictate* that stars like the Sun must live for billions of years.
- ▶ It is then no surprise that we live in a Universe that has a size of **billions of lightyears** and contains an enormous number of stars.

⁹Here α is the fine-structure constant, α_G the gravitational coupling constant (defined as $Gm_p^2/\hbar c$), G Newton's gravitational constant, \hbar the reduced Planck constant, c the speed of light, m_p the proton-mass and β the proton-electron mass ratio.

- ▶ Currently the **anthropic principle** is quoted often in connection with **string theory** and the existence of the '**Multiverse**' or '**Cosmic Landscape**'.
- ▶ This has to do with the fact that **Theories of Everything** fail to predict the values of the physical constants.
- ▶ Only those universes in the Landscape with **very special values** for the constants allow observers¹⁰.
- ▶ Tipler has gone further and deduced from the anthropic principle that '**extraterrestrial beings do not exist**'¹¹.

¹⁰Leonard Susskind: *The Cosmic Landscape: String theory and the illusion of intelligent design* (2005).

¹¹adsabs.harvard.edu/abs/1980QJRAS..21..267T; see also adsabs.harvard.edu/abs/1983RSPTA.310..347C

- ▶ Aspects of nature that are **necessary** for intelligent life to develop **imply** timescales of **billions of years** and therefore a Universe that measures **billions of lightyears**.
- ▶ This in turn implies the existence of 10^{20} stars, so it is no surprise if it has been realized only **once**.
- ▶ The anthropic principle has been criticized as being **unscientific** (not falsifiable).
- ▶ Its appeal is that it emphasizes the **unity** in nature and the **intimate connection** between the structure of the universe and the presence of humankind.

Anthropic principle: implications

- ▶ According to the anthropic principle we must be in a very special position that allowed the development of intelligent life.
- ▶ This is the opposite of the Copernican principle.
- ▶ Intelligent life does not have to be abundant and could even be rare.

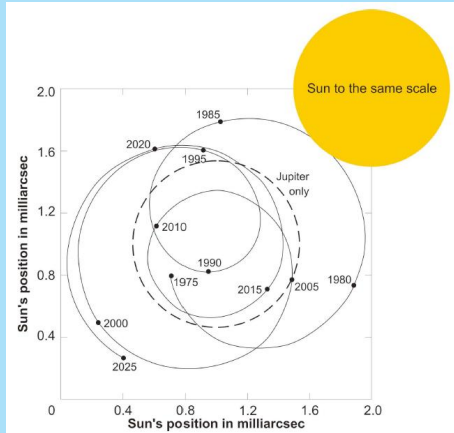
Exoplanets: Search methods¹²

Direct imaging

- ▶ At 10 pc (1 parsec = 3.26 lightyears) Jupiter is 0.5 arcsec from the Sun and 19.6 mag (factor 7×10^7) fainter.
- ▶ At this distance the Earth is 0.1 arcsec from the Sun and 22.4 mag (factor 9×10^8) fainter.
- ▶ This makes this method unpractical at the moment.
- ▶ It is expected that the European Extremely Large Telescope that is being considered may be able to do this using conjugate adaptive optics together with coronagraphy.¹³

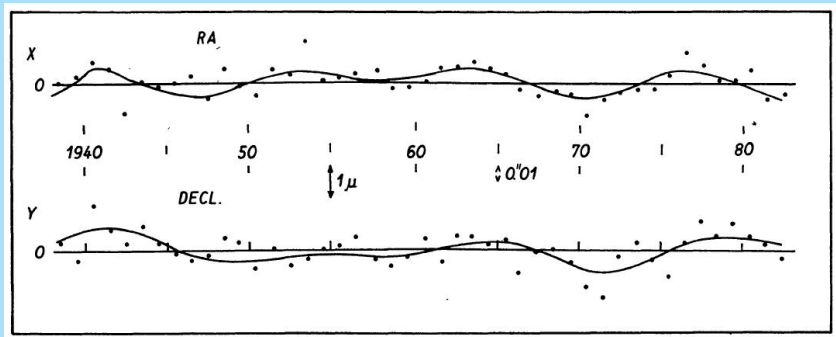
¹²See e.g. Jones, adsabs.harvard.edu/abs/2008IJAsB...7..279J

¹³See www.eso.org/sci/facilities/eelt/science/index.html



- ▶ Here we see the motion of the Sun around the **center of gravity** of the Solar System seen from a distance of **10 pc** (32.6 lightyears).
- ▶ Both due to **Jupiter alone** (dashed circle) and to all planets.
- ▶ Amplitude is of **order milliarcsec** for Jupiter.
- ▶ This is extremely difficult to measure.

Peter van de Kamp claimed **two** planets around **Barnard's Star**¹⁴.



- ▶ From long-term project from **Sproul Observatory** (Penn., USA).
- ▶ Van de Kamp (1901–1995) was an **famous astrometr**ist.

¹⁴adsabs.harvard.edu/abs/1982VA.....26..141V

- ▶ The planets had periods of 12 and 20 years.
- ▶ Barnard's star is high-velocity star at 6.0 lightyears with mass $0.15 M_{\odot}$.
- ▶ Planets are at 2.4 and 3.4 AU¹⁵ and masses are 0.5 and 0.7 M_{Jup} .
- ▶ Detailed study has shown that deviations correlate with times of maintenance and modifications to the objective lens of the (refractor) telescope.
- ▶ At present no planets have been found around this star.

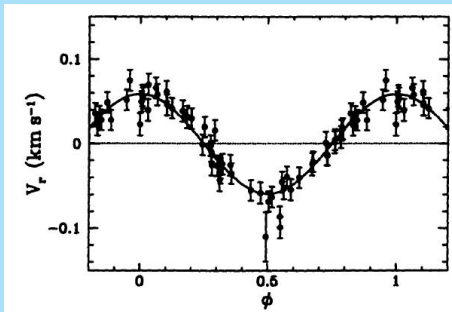
¹⁵Astronomical Unit = mean distance Earth – Sun

Radial velocity

- ▶ If we would be in the plane of the Solar System its radial velocity of the Sun would have a wobble
 - ▶ Jupiter: amplitude 12.5 m/sec with period 11.9 years.
 - ▶ Earth: amplitude 8.9 cm/sec with period 1.0 years.
- ▶ The inferred mass is dependent on inclination i if the orbit.
- ▶ This method requires high accuracy and stability.
- ▶ The accuracy attainable now is about a m/sec.
- ▶ This means the measurement of the wavelength of a spectral line accurate to one part in 300 million.
- ▶ The High Accuracy Radial Velocity Planetary Search (HARPS)¹⁶ instrument of Geneva Observatory on the ESO 3.6-meter La Silla telescope is very prominent.

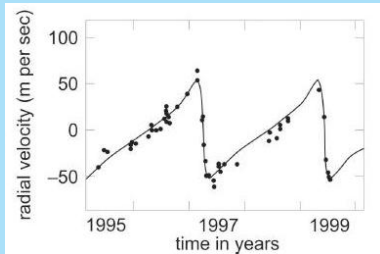
¹⁶obswww.unige.ch/Instruments/harps

First detection of an exoplanet in 1995.¹⁷

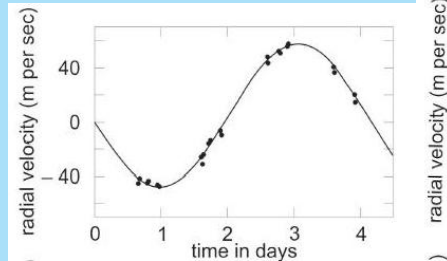


- ▶ 51 Pegasi is a solar-type star ($M = 1.06 M_{\odot}$) at about 50 lightyears.
- ▶ It somewhat more metal-rich than the Sun.
- ▶ Planet mass is $0.47 / \sin i M_{\text{Jup}}$.
- ▶ Roughly circular orbit of 4.23 days and radius about 0.05 AU.
- ▶ First example of a 'hot Jupiter'.

¹⁷M. Mayor & D. Queloz: *A Jupiter-mass companion to a solar-type star*, adsabs.harvard.edu/abs/1995Natur.378..355M.

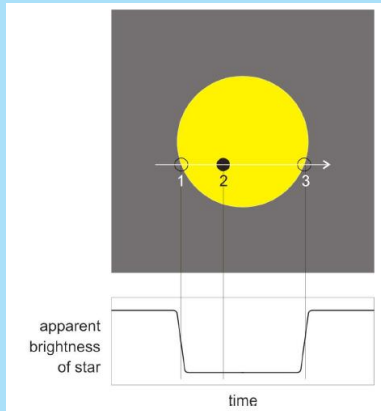


The form can be used to determine the **eccentricity**^a of the orbit.



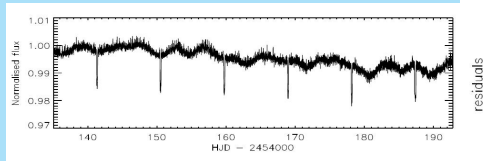
^aOften spelled eccentricity

Transits



- ▶ Transit of **Jupiter**: Dip of **1.1%** for maximum **36.6 hours** and repeating every **11.9 years**. No more than **0°05** from plane of orbit.
- ▶ Transit of **Earth**: Dip of **0.0083%** for maximum **13.1 hours** and repeating every **1.0 years**. No more than **0°25** from plane of orbit.

- ▶ This can be done with a large accuracy from **space**.
- ▶ The satellites **CoRoT**^a (France/Europe) and **Kepler**^b (USA) are discovering large numbers of planets.
- ▶ Figure show data from CoRoT (**CoRoT-exo4**; **1.17 R_{Jup}** , **9.2 days**).
- ▶ Follow-up is needed to determine **eccentricity**.

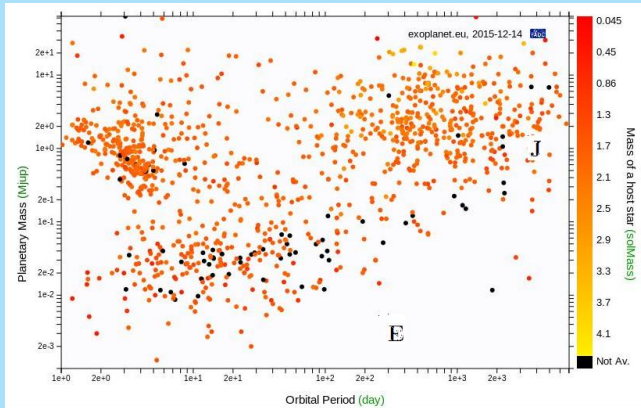


^asmc.cnes.fr/COROT and
www.esa.int/esaMI/COROT/index.htm
^bkepler.nasa.gov

Exoplanets: Results of searches

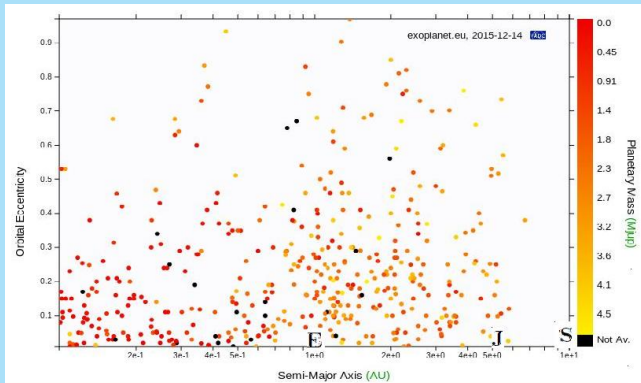
The following applies to December 14, 2015.

- ▶ In exoplanet.eu and exoplanet.org there are 2030 exoplanets around 1288 stars.
- ▶ Of this 803 have measured eccentricities.
- ▶ There are 502 multiple planet systems.
- ▶ There is a long list of candidates by [Kepler](http://kepler.nasa.gov) that need confirmation.



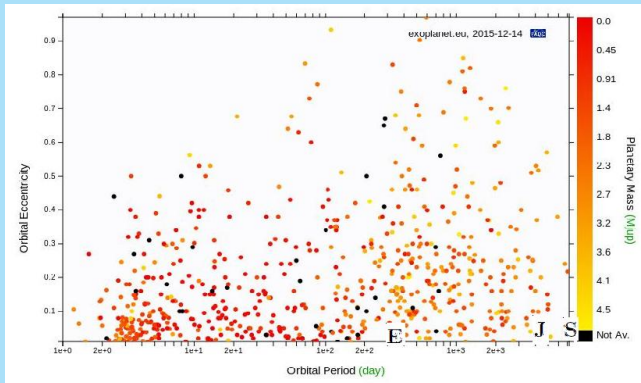
Orbital period versus planetary mass.

Earth and Jupiter have been added.



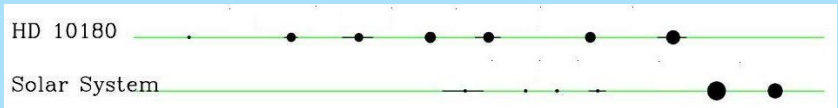
Semi-major axis versus eccentricity.

Earth, Jupiter and Saturn have been added.

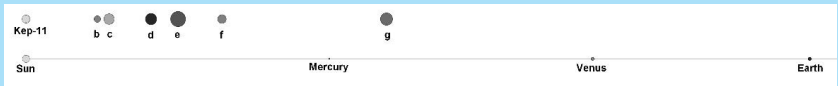


Orbital period versus eccentricity.
Earth, Jupiter and Saturn have been added.

Here is a comparison of some systems with **large number of planets**, compared to the Solar System.



HD10180 ($1.06M_{\odot}$, ~ 8 Gyr, $[Fe/H]\sim 0.1$) has **7** known planets.



Kepler-11 ($0.95M_{\odot}$, ~ 7 Gyr, $[Fe/H]\sim 0.0$) has **6** known planets.

These systems are still very **different** from the Solar System.

Exoplanets: conclusions

- ▶ Many stars have exoplanets.
- ▶ Often these systems contain large planets close to the star.
- ▶ The orbits are generally very eccentric.

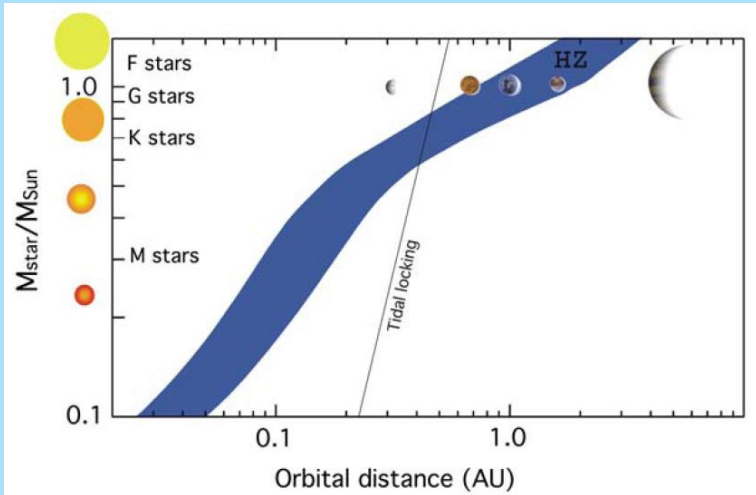
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Rare Earth

Habitable Zones

- ▶ The **Habitable Zone** (HZ) around a star is the range in radius over which **liquid water** can be present on a **terrestrial** planet.
- ▶ At the **inner** edge of the HZ runaway greenhouse effects will vaporize the water reservoir.
- ▶ At the **outer** edge greenhouse effects are unable to keep the surface above freezing temperature.
- ▶ In the Solar System, **Venus** ($\sim M_{\oplus}$; mass of Earth) is just out of the HZ. **Mars** is within the HZ, but its mass ($\sim 0.1M_{\oplus}$) is too low to hold on to the water.
- ▶ **Tidal locking**: tidal forces keep the same side turned to the star, so uneven temperatures .



- ▶ The star has to have a sufficiently **long life-time**.
- ▶ The relevant phase is the **hydrogen-burning** phase that astronomers call **Main Sequence** stage.
- ▶ For the Sun this stage lasts of order **10 Gyr**. For a star of **$1.5 M_{\odot}$** this is **3.5 Gyr**.
- ▶ We then have to exclude stars more massive than about **$1.5 M_{\odot}$** .
- ▶ **Red dwarf stars** may be too variable (due to **starspots**).
- ▶ We then also have to exclude stars with mass less than **$0.5 M_{\odot}$** or so.
- ▶ Stars in the range **$(0.5-1.5) M_{\odot}$** are called **F, G and K-stars**.

- ▶ If this is true, we are left with some **10%** of all stars.
- ▶ The **Milky Way Galaxy** has about **a few $\times 10^9$** FGK-stars in its disk.
- ▶ Maybe half of these have insufficient amounts of '**metals**' and roughly half are **younger** than the Sun.
- ▶ Estimates are that **one-third** of FGK stars have **terrestrial size planets**
- ▶ This still leaves of order **10^8 suitable stars** in the Galaxy.
- ▶ We can look in more detail in the immediate **Solar Neighborhood**.

- ▶ For the range $(0.5-1.5) M_{\odot}$ (FGK-stars) we have the following statistics.
- ▶ According to RECONS¹⁸ we have within 10 pc (=32.6 ly):

stars	FGK	M	systems	single
369	70	247	256	174

- ▶ Among the 100 nearest systems (out to 6.6 pc = 21 ly) there are 8 single FGK stars.
- ▶ Of these 8 single stars, 1 G-star (Sun) and 1 K-star (ϵ Eri) are known to have planets.
- ▶ So of order 10% of stars are of suitable type and are not part of multiple systems.

¹⁸Research Consortium on Nearby Stars; www.chara.gsu.edu/RECONS

Timescales¹⁹

- ▶ The Earth is 4.566 ± 0.002 Gyr old.
- ▶ For the first 0.5 ± 0.4 Gyr life was impossible.
- ▶ Life exists now for $4.0^{+0.4}_{-0.2}$ Gyr.
- ▶ Therefore the **genesis of life** took place $0.1^{+0.5}_{-0.1}$ Gyr after it was possible.
- ▶ This extremely **rapid appearance of life** indicates that it may appear almost **immediately** as soon as it is possible.
- ▶ This suggests that **life is present** on all suitable planets around stars near the sun and must be **abundant**.

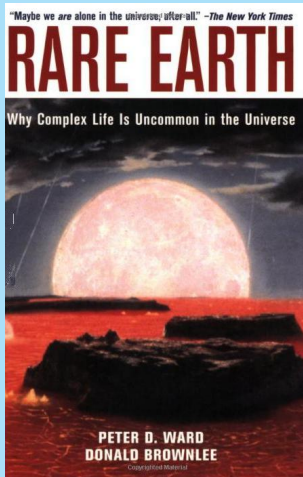
¹⁹See Lineweaver & Davis: adsabs.harvard.edu/abs/2002AsBio...2..293L

- ▶ **Land animals** (first footprints) are only ~ 500 Myr old; **mammals** appeared only about 200 Myr ago.
- ▶ **Intelligent life like ours** took on Earth about 4.5 Gyr to emerge.
- ▶ The **median age** of solar type stars in the Solar Neighborhood is 5.4 Gyr, so that more than half the stars are older than the sun.
- ▶ These statistics suggest that there are many stars that are old enough to harbor **intelligent life**, if conditions are suitable.

Habitable zones and timescales: conclusions

- ▶ *Habitable planets seem to be possible only around FGK stars in the GHZ. That is only a few percent of all stars, but many have planets in their HZ.*
- ▶ *Then there are many stars in the Universe that are potentially suitable to harbor planets with **some form** of life on it.*
- ▶ *The rapid appearance of life on Earth suggests this is a very common occurrence.*
- ▶ *However, complex intelligent life takes very much longer to appear. But the majority of nearby stars is older than the Sun.*

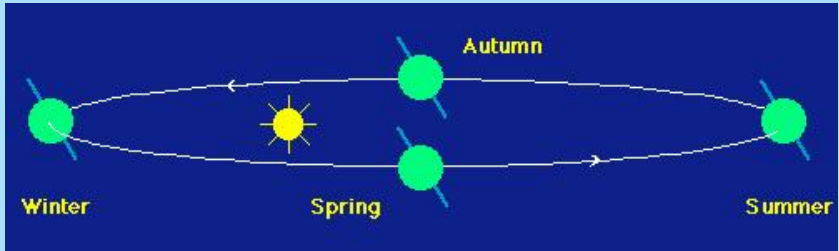
Tides, magnetic field, plate tectonics



- ▶ Ward & Brownlee argue in **Rare Earth** (2000) that it is necessary for intelligent life to form that the planet:
 - ▶ has **continental drift, tides and a magnetic field**, which requires a **molten core** and **liquid H₂O**.
 - ▶ is **shielded from major impacts by asteroids and comets** by a giant planet like **Jupiter**.
 - ▶ has a **long-term stable climate**, which requires a rather **large Moon**.
- ▶ They claim these things rare.
- ▶ I will not address the geological issues.

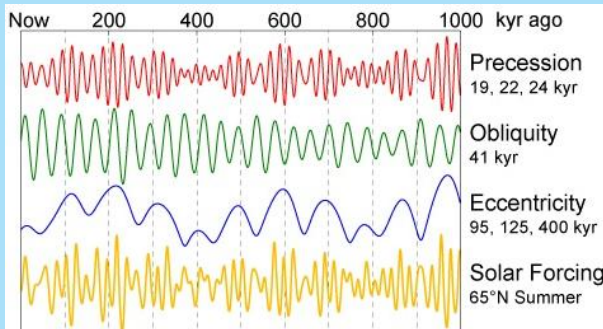
Earth's obliquity, Moon and Milankovitch Cycles

The seasons and the amount of sunlight received (the **solar irradiation**) depend on the characteristics of the Earth's orbit and orientation.



- ▶ The Earth moves around the Sun in an **elliptical** orbit with **eccentricity** $e = 0.017$ and with the **aphelion** occurring around **January 3**.
- ▶ The Earth's rotation axis makes an angle with the plane of the orbit (the **obliquity**) of $\epsilon = 23^\circ 44'$.
- ▶ In the northern hemisphere the seasons last **89** (winter), **93** (spring), **93** (summer) and **90 days** (fall).
- ▶ The solar irradiation in **aphelion** is about **7%** larger than at **perihelion**.
- ▶ Most **land mass** (which reacts most quickly to such changes) at northern latitudes.
- ▶ Due to interaction with the Moon and other planets, the Earth's rotation axis **precesses**, while **obliquity** ϵ and orbit **eccentricity** e vary.

- Variations are $e = 0.005 - 0.058$, $\epsilon = 22^{\circ}1 - 24^{\circ}5$ and precession has period $\sim 26,000$ years.

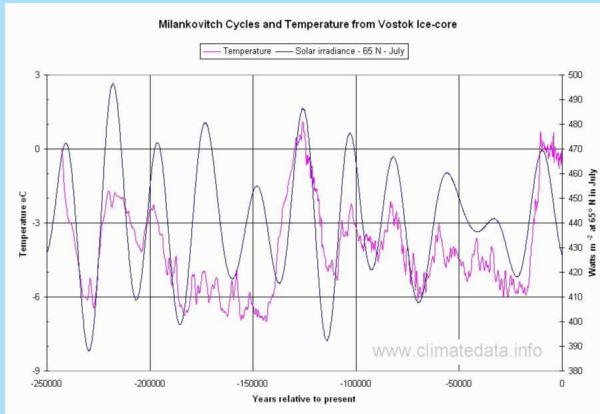


- The 'Milankovitch cycles'²⁰ force the temperature fluctuations and may be '*pacemakers of the Ice Ages*'.

²⁰Milutin Milanković (1879–1958), a Serbian civil engineer and geophysicist.

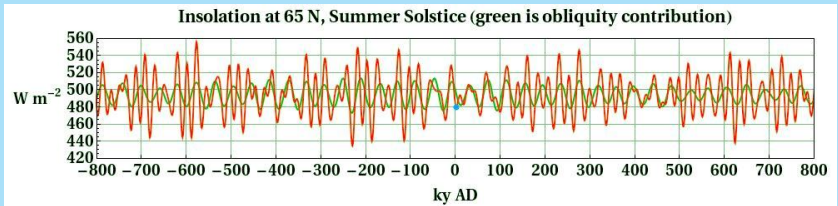
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Small changes in the Earth's orbit produce large effects in temperature.

The variations are relatively slow (order 10^4 yrs).



The obliquity of the Earth (green) is an important factor.

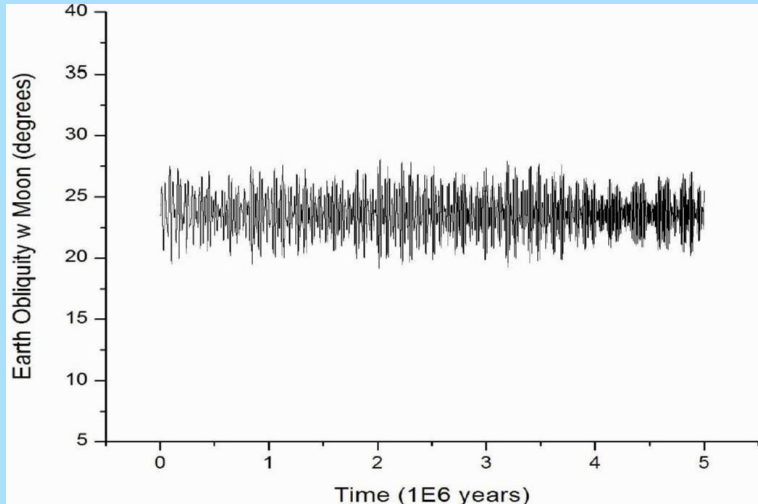
However, its variation ($\epsilon = 22^\circ 1' - 24^\circ 5'$) is small as a result of the stabilizing effect of the Moon.

- ▶ It has been realized for some time that the presence of a **large Moon** stabilizes the Earth's rotation axis.
- ▶ If there were no Moon the dynamics of the obliquity would be **chaotic** and it would vary between 0° and 85° ²¹.
- ▶ This can be understood as the result of the larger **angular momentum** (factor about **3.5**) of the Earth-Moon system than that of the Earth rotation alone.
- ▶ Here are some recent calculations over the last **5 million years**²².

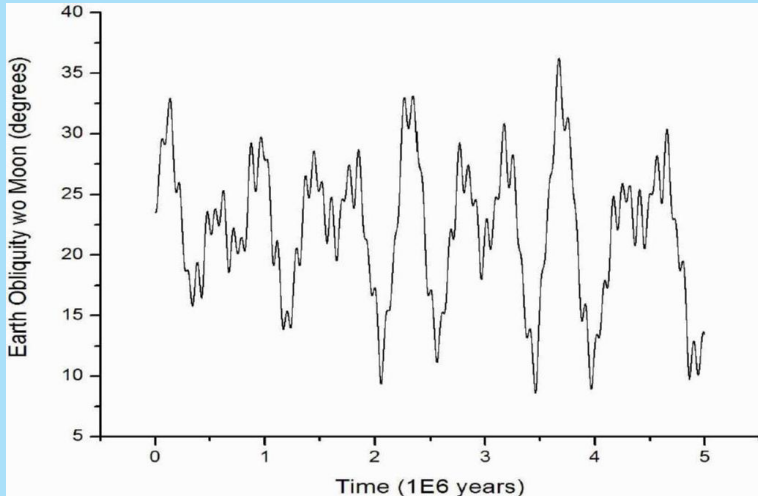
²¹Laskar *et al.*, adsabs.harvard.edu/abs/1993Natur.361..615L

²²A.E. Girkin: etd.ohiolink.edu/view.cgi?acc_num=miami1133292203

The variation of the obliquity **with** Moon.



The variation of the obliquity **without** Moon.



So we find:

- ▶ Planets are common, but the systems that have been discovered are almost all very different from the Solar System.
- ▶ Complex life requires a terrestrial planet in the habitable zone of an FGK-star in the GHZ that is, moderately metal-rich and at least 4 Gyr or so old, and a relatively massive Moon to stabilize its axis.
- ▶ There are large numbers of stars that are suitable for life to appear, and many are old enough for evolution into intelligent, technological civilizations as on Earth.
- ▶ If Fermi's paradox is correct the latter must occur very seldomly.

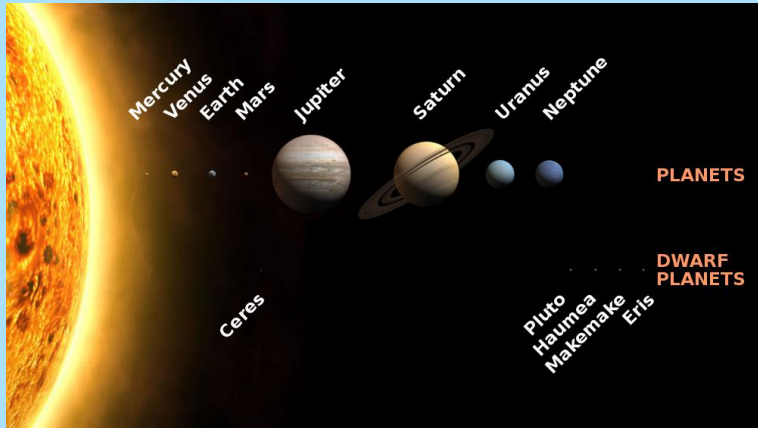
If intelligent life as on Earth is so rare, what then is so special about the Solar System?

Is the Solar System unique?

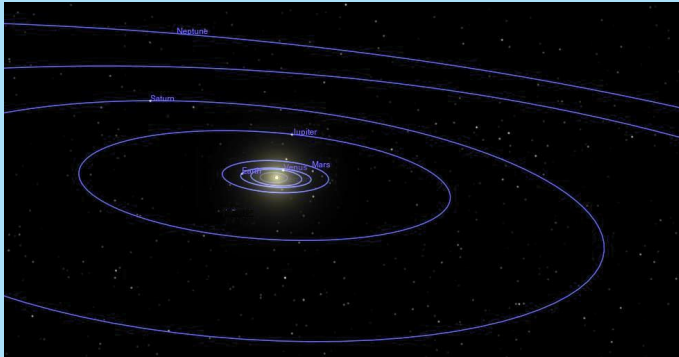
Characteristics of the Solar System

- ▶ The **Solar System** consists of **eight** planets.
 - ▶ The **inner** or **terrestrial** planets are small and rocky and consists mainly of **metals** and **silicates**.
 - ▶ The **outer** of **Jovian** planets are large and mostly **gaseous** (**CH_4** , **NH_3** , etc.), but are believed to have solid cores.
- ▶ The move around the Sun in **elliptical, but nearly circular**, **nearly co-planar** orbits, all going in the same direction.

This figure show their **relative sizes**.

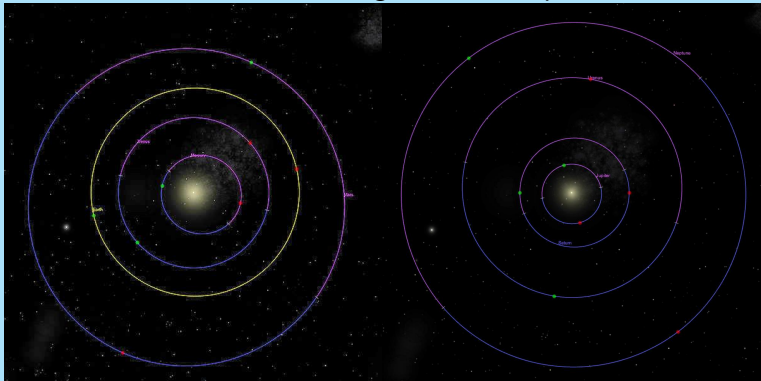


Here we see the **orbits** on **relative** scales.



Between Mars and Jupiter we find the **asteroid** belt with **Ceres** the largest.

Left the **inner** and right the **outer** planets.



Except maybe **Mercury** and **Mars** the orbits are **not very eccentric**.

- ▶ The **Planetary System** appears simple to describe. It consists of a **point mass** (Sun), with **eight much smaller masses** moving around it in **nearly circular, nearly co-planar** orbits.
- ▶ These orbits obey **Kepler's** laws and this is well understood in terms of Newton's laws of gravity.
- ▶ But there are **gravitational** interactions between the planets (ignoring all other bodies as comets, asteroids, etc.).
- ▶ **Can this be stable over Gyrs?** Say for order 10^9 – 10^{10} orbits?
- ▶ Many physicists (**Newton**, etc.) wondered about this. In particular **Laplace** and **Lagrange** thought they proved this to be the case.
- ▶ But is this also found in **numerical experiments**?

Resonances, stability and chaos

An old empirical 'law' is that of Titius-Bode (eighteenth century) for the semi-major axes:

$$a = 0.4 + 0.3 \times 2^m$$

for

$$m = -\infty, 0, 1, 2, \dots, 6.$$

Planet	a_{real}	$a_{\text{T-B}}$
Mercury	0.39	0.4
Venus	0.72	0.7
Earth	1.00	1.0
Mars	1.52	1.6
Ceres	2.77	2.8
Jupiter	5.20	5.2
Saturn	9.54	10.0
Uranus	19.2	19.6
Neptune	30.1	38.8

- ▶ So, there is **regularity** in the sizes of the orbits.
- ▶ It is also a regularity in terms of the **periods** of the orbits through **Kepler's third or harmonious law**:

$$\frac{a^3}{T^2} = \frac{GM_{\odot}}{4\pi^2}.$$

- ▶ The ratios of subsequent planetary periods can be expressed in terms of a **ratio of small integers** and thus translate into **resonances** between these periods.

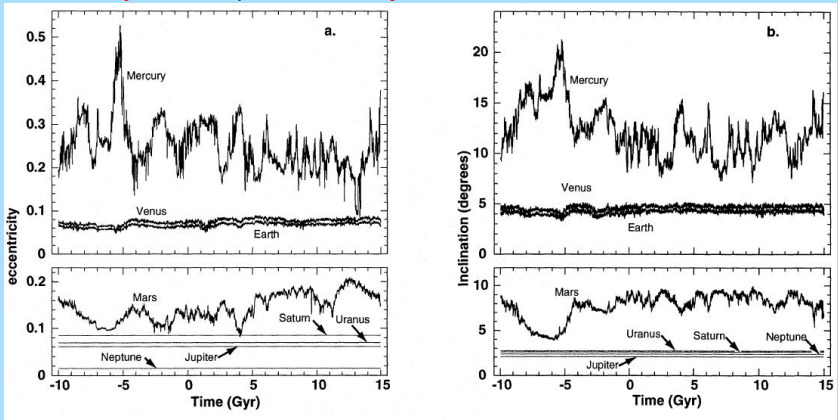
We have almost precise 'Mean Motion Resonances' (MMR):

Mercury – Venus	0.40	2 : 5
Venus – Earth	0.62	3 : 5
(Earth – Mars)	0.53	1 : 2
(Mars – Ceres)	0.41	2 : 5
Ceres – Jupiter	0.39	2 : 5
Mars – Jupiter	0.16	4 : 25
Jupiter – Saturn	0.40	2 : 5
Saturn – Uranus	0.35	1 : 3
Jupiter – Uranus	0.14	1 : 7
Uranus – Neptune	0.51	1 : 2

- ▶ Such **Mean Motion Resonances** are very common.
- ▶ E.g. **de Sitter's** model for the **Galilean satellites of Jupiter** was built on a basic model with **1 : 2 : 4** resonance between the orbits of the inner three.
- ▶ Resonances occur due to cyclic recurrence of **mutual gravitational disturbances** of the orbits.
- ▶ This '**locks**' the planets in these resonant orbits.
- ▶ They also work in **strongly elliptical** orbits, but only when the directions of perihelia are very different.
- ▶ It is thought to provide **stability** to the Solar System.
- ▶ The classical study is the paper '**Large-scale chaos in the Solar System**' in **1994** by Jacques Laskar²³.

²³adsabs.harvard.edu/abs/1994A%26A...287L...9L

This classical figure from Laskar (1994) shows the **maximum values** (in **10 Myr** intervals) of **e** and **i** in a simulation (1 day CPU per Gyr) over **10 Gyr** in the past to **15 Gyr** into the future.



- ▶ The **orbits** themselves are relatively **stable**, but the motions are **chaotic** in the sense that **positions** of planets quickly become **unpredictable**.
- ▶ This is expressed by the **Lyapunov time**, which is the timescale of **exponential** growth of deviations.²⁴
- ▶ If we change an initial position by Δx_0 and this gives rise to a change Δx_t after time t such that $\Delta x_t \sim \exp(\lambda/t)\Delta x_0$, then the **Lyapunov time** is $1/\lambda$.
- ▶ The Lyapunov times of the planets range from **a few** to **a few hundred Myrs**.

²⁴Laskar: A shift of **150 m** of the Earth's position **500 Myrs** in the future corresponds to a change in the initial position of less than a Planck length ($l_P = \sqrt{\hbar G/c^3} = 1.6 \times 10^{-35} \text{ m}$), i.e. **38 orders of magnitude**.

Stability of Solar System: conclusion

- *The Solar System is chaotic with Lyapunov times between a few to some hundreds of Myrs, but the planetary orbits themselves are rather stable over very long timescales, up to Gyrs.*

Uniqueness of Solar System: conclusions

- ▶ An Earth-Moon system is exceptional, but with slow precession like in our case is extremely rare.
- ▶ Our Solar System appears to have an architecture that is the exception rather than the rule.
- ▶ The existence of giant planets like Jupiter and Saturn in almost circular orbits, locked in Mean Motion Resonance provides a Solar System that is stable over Gigayears.
- ▶ Milankovich cycles in our Solar System are exceptionally slow due to the precise location of the planets.

Conclusions

- ▶ *Fermi's paradox suggests that intelligent life in the Universe is highly exceptional.*
- ▶ *The anthropic principle is consistent with this.*
- ▶ *Planetary systems discovered up till now are almost all very different from the Solar System.*
- ▶ *Life as on Earth is probably possible only around stars with a mass between 0.5 and 1.5 times that of the Sun, a metallicity similar to of the Sun, an age of billions of years and at moderate distances from the Galactic Center.*
- ▶ *There are probably many Earth-like planets in Habitable Zones around such stars, although many on exccentric orbits.*
- ▶ *Primitive life may then be abundant in the Universe.*

- ▶ *Complex and intelligent life may require stable conditions over long timescales and the Solar System is exceptional in providing that.*
- ▶ *The Solar System is unusual in providing long-term stable orbits, resulting from by the presence of a few giant planets in almost circular orbits, locked in orbital resonances.*
- ▶ *The long-term stability of the Earth's climate derives from the presence of the Moon.*
- ▶ *The stable conditions on Earth for possible evolution towards complex life requires unusually slow Milankovitch cycles, which derive from both the precise properties of the Earth-Moon system and the detailed architecture Solar System.*
- ▶ *The chance of success of SETI will be extremely small, but it remains important to pursue it.*

Thank you for your attention

Deze presentatie zal enige tijd beschikbaar zijn als 'blinde link' op

www.astro.rug.nl/~vdkruit/SETI@Assen.pdf,

en een meer gedetailleerde versie met literatuurverwijzingen op

www.astro.rug.nl/~vdkruit/jea3/homepage/KNG_SETI.pdf

Zie ook mijn boekbespreking in de 'Academische Boekengids': *'Dan zouden we het toch gehoord hebben'* op

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of de uitgebreidere XL-versie op

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