

TRUNCATIONS IN STELLAR DISKS AND WARPS IN HI-LAYERS IN SPIRAL GALAXIES

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Truncations in stellar disks

- Observations of truncations

- Models for the origin of truncations

- Truncations in moderately inclined galaxies

- The work of Pohlen and collaborators

Warps in HI-disks

- Observations of HI-warps

- Flatness of disks

- Systematics of HI-warps

The García-Ruiz et al. sample

Discussion

- Properties of warps

- Origin of warps

- Summary

Truncations in stellar disks

Observations of truncations

- ▶ In **edge-on spiral galaxies** it was noted¹ that the radial extent did not grow with deeper and deeper photographic exposures.
- ▶ Especially when a bulge was present the minor axis did grow with deeper images.
- ▶ A prime example of this phenomenon of so-called **disk truncations** is the galaxy **NGC 4565**.

¹P.C.van der Kruit, A.&A.Suppl. 38, 15 (1979)

Outline

Truncations in stellar disks

Warps in HI-disks

The García-Ruiz et al. sample

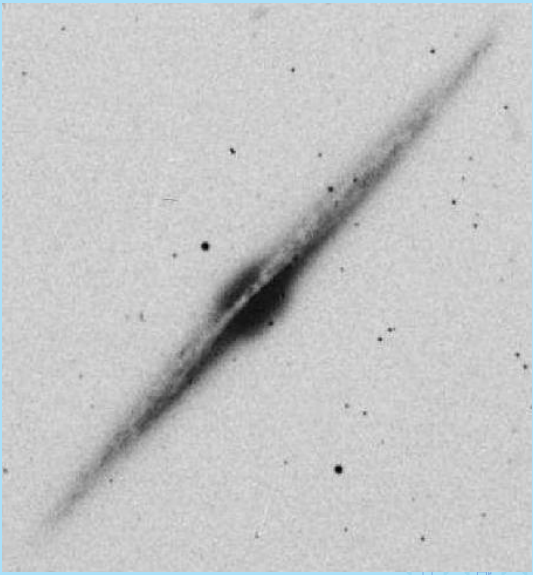
Discussion

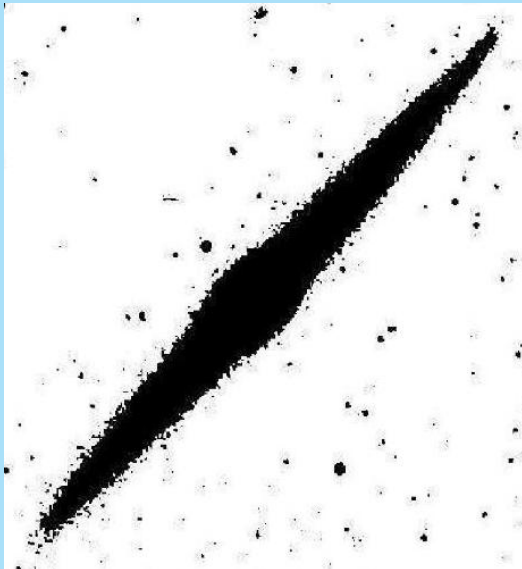
Observations of truncations

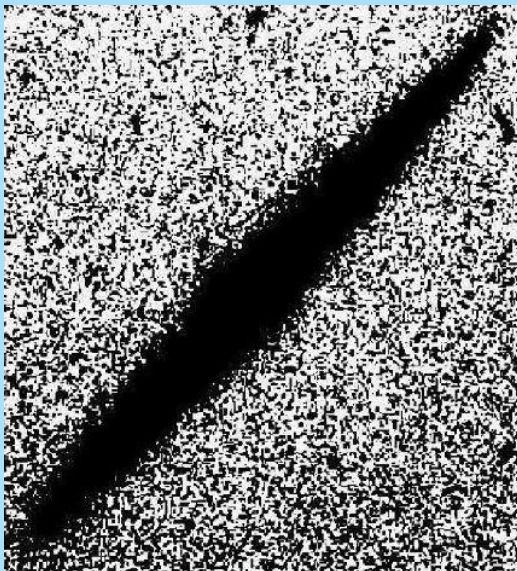
Models for the origin of truncations

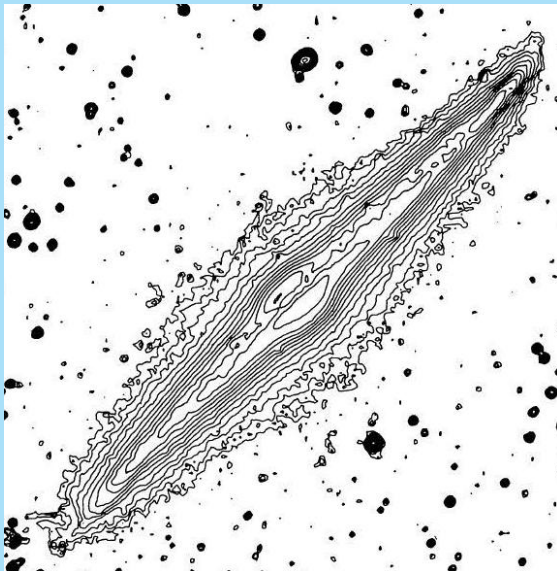
Truncations in moderately inclined galaxies

The work of Pohlen and collaborators

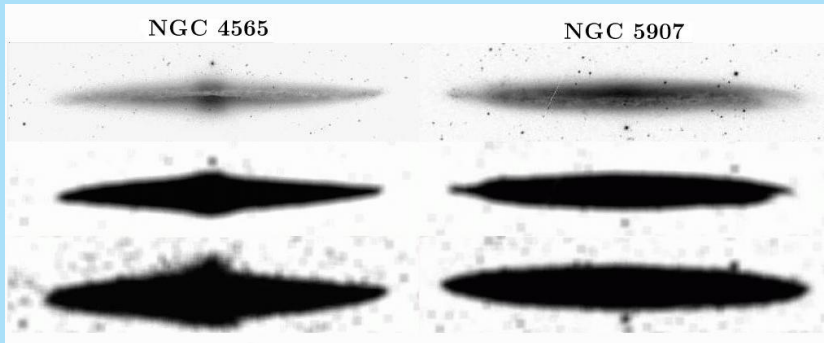








In one figure:



- ▶ Galaxy disks have a radial volume brightness distribution that is an **exponential** and the vertical one that of an **isothermal sheet**²:

$$L(R, z) = L_0 \exp(-R/h) \operatorname{sech}^2(z/z_0) \quad \text{for } R < R_{\max}.$$

Disks have a **constant thickness**.

- ▶ Then the projected surface distribution (for R_{\max} at infinity) will be

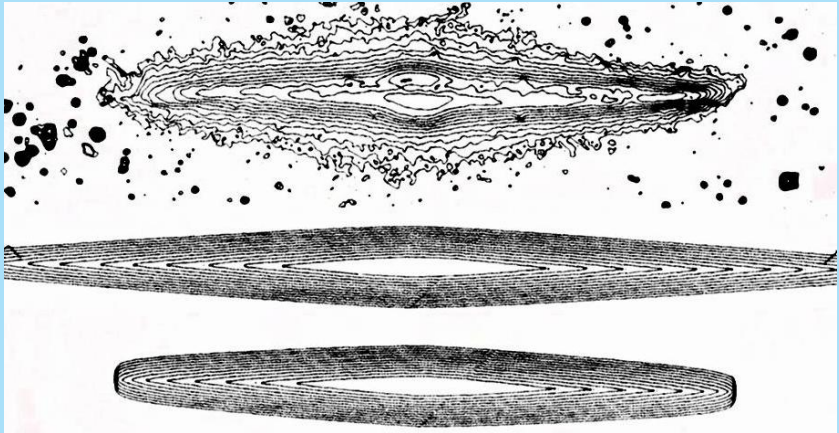
$$\mu(R, z) = 2hL_0(R/h)K_1(R/h) \operatorname{sech}^2(z/z_0),$$

where $K_1(R/h)$ is a Bessel function of the first kind, that approaches $\exp(-R/h)$ at large R .

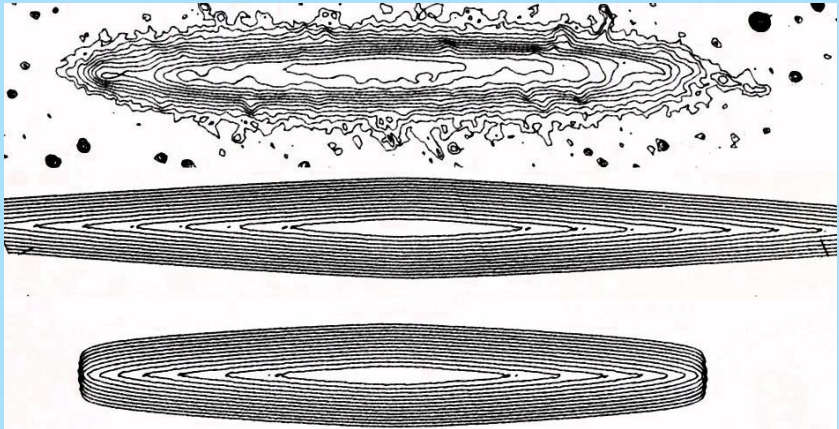
- ▶ For $R > R_{\max}$, $\mu(R, z)$ drops quickly near R_{\max} .

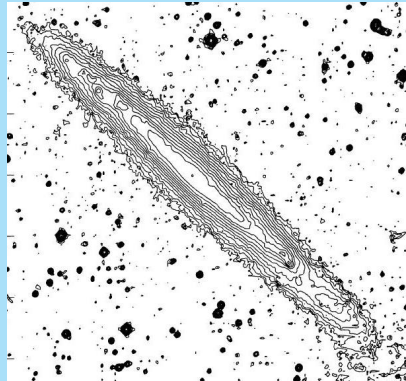
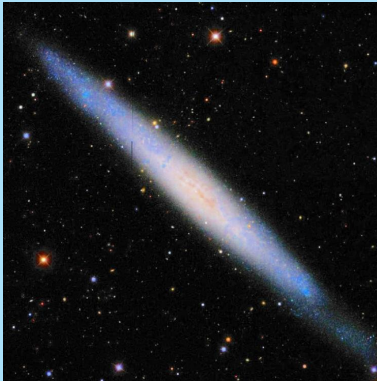
²P.C. van der Kruit & L. Searle, A.&A. 95, 105 (1981)

Contours for **NGC 4565** compared to an infinite disk and one with an infinitely sharp truncation.



Contours for **NGC 5907** compared to an infinite disk and one with an infinitely sharp truncation.

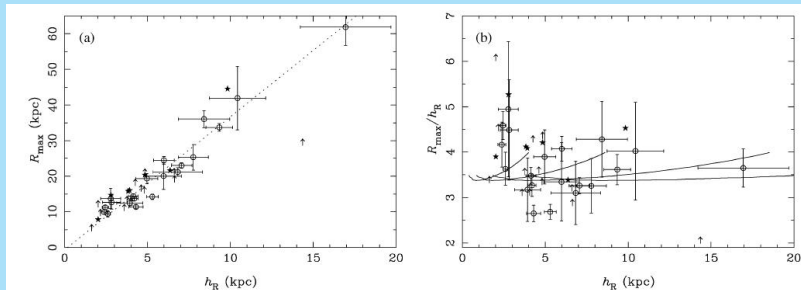




Faint HST starcounts³ have confirmed the presence of the truncation in NGC 4244.

³de Jong et al., Ap.J. 667, L49 (2007)

- ▶ More recent analyses⁴ of a sample of 34 southern spiral galaxies shows that
 - ▶ At least 60% have radial truncations at a radius that we will call R_{\max} .
 - ▶ They occur on average at about 4 radial scalelengths h and the ratio R_{\max}/h decreases towards larger scalelengths.



⁴M. Kregel, P.C. van der Kruit & R. de Grijs, MNRAS 334, 646 (2002); M. Kregel & P.C. van der Kruit, MNRAS 355, 143 (2004)

Models for the origin of truncations

I. The truncations are the current extent of **slowly growing disks** (from the inside to the outside) from accretion of external material⁵.

- ▶ This model predicts **substantial age changes** across disks, which are not observed⁶.
- ▶ Furthermore, current thinking is that disks formed either in an early **monolythic collapse** or by a slower process of merging of smaller systems in a **hierarchical formation picture**.

⁵Larson, MNRAS 176, 31 (1976)

⁶de Jong, A.&A. 313, 377 (1996)

II. Inhibition of star formation when the gas surface density falls below some **threshold (surface) density** for local instability⁷.

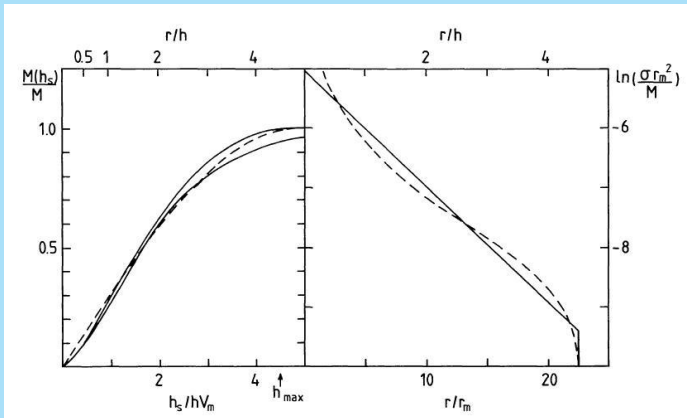
- ▶ The **Goldreich-Lynden-Bell criterion** for stability of a gaseous layer gives a poor prediction for the truncation radii⁸.
- ▶ Another problem is that observations of the **rotation curves** of a some galaxies (e.g. **NGC 5907** and **NGC 4013**⁹) show features near the truncations that indicate that the **mass distributions are also truncated**.
- ▶ Schaye predicts an **anti-correlation** between R_{\max}/h and h , which is not observed (see later).

⁷Fall & Efstathiou, MNRAS 193, 189 (1980); Schaye, Ap.J. 609, 667 (2004)

⁸van der Kruit & Searle, A.&A. 110,61 (1982)

⁹Casertano, MNRAS 203, 735 (1983); Bottema, A.&A. 306, 345 (1996)

III. The truncation corresponds to a **maximum in the specific angular momentum** in the protogalaxy¹⁰.



¹⁰van der Kruit, A.&A. 173, 59 (1987)

- ▶ If the collapse occurs from a **Mestel sphere**¹¹ (that has uniform density and angular velocity) with **detailed conservation of specific angular momentum**¹² in the force field of a **dark halo** with a flat rotation curve, a roughly exponential disk results with a cut-off at about **4.5 scalelengths**.
- ▶ This provides both an explanation for the **exponential nature** of disk as for the occurrence of the **truncations**.
- ▶ However, it is not unlikely that **some redistribution** of angular momentum due to bars, etc. occurs.

¹¹Mestel, MNRAS 126, 553 (1963)

¹²Fall & Efstathiou, *op. cit.*

IV.

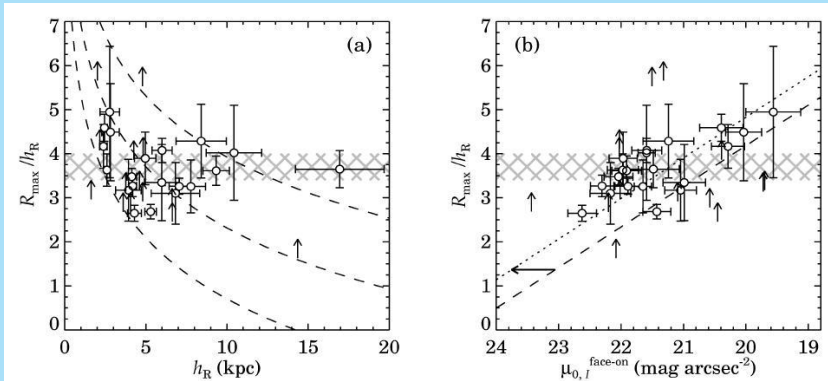
It is also possible that **substantial redistribution of angular momentum** takes place, such that it is unrelated to the initial distribution in the material that formed the disks.

- ▶ **Bars** may play an important role in this, as suggested by Erwin et al.¹³.
- ▶ In fact a range of possible agents, such as bars, **density waves, heating and stripping of stars by bombardment of dark matter subhalos**, has been invoked¹⁴.

¹³Erwin, Pohlen, Beckman, Gutiérrez & Aladro, Astro-ph 0706.38291 (2007)

¹⁴de Jong, Seth, Radburn, Bell, Brown, Bullock, Courteau, Dalcanton, Ferguson, Goudfrooij, Holfeltz, Holwerda, Purcell, Sick & Sucker, Ap.J. 667, L49 (2007)

Below are the correlations of cut-off radius R_{\max} in terms of exponential scalelengths h with h itself and with the face-on central surface brightness $\mu_{0,fo}$.¹⁵



¹⁵Kregel & van der Kruit, *op. cit.*

- ▶ The property R_{\max}/h does not depend strongly on h , but is somewhat less than the 4.5 predicted from the collapse from a simple Mestel-sphere.
- ▶ There is some correlation between R_{\max}/h and $\mu_{o,fo}$, indicating approximate constant disk surface density at the truncations, as predicted by the star-formation threshold model.
- ▶ The star-formation threshold model predicts an anti-correlation between R_{\max}/h and h , which is not observed.
- ▶ The maximum angular momentum hypothesis does not predict that R_{\max}/h depends on h or $\mu_{o,fo}$ and it therefore requires some redistribution of angular momentum in the collapse.

Conclusions

- ▶ Many, but not all, edge-on stellar disks in galaxies show **relatively sharp truncations** in their radial distributions.
- ▶ The model with a **threshold in star formation** as the origin of the truncations is **not in agreement** with the observed distribution of R_{max}/h with h .
- ▶ If the truncation radius corresponds to a **maximum in the specific angular momentum distribution** that existed already before the collapse and that is conserved through the collapse, the initial configuration is either **not identical** to that of a Mestel sphere and/or the conservation of specific angular momentum is **not perfect**.

Truncations in moderately inclined galaxies

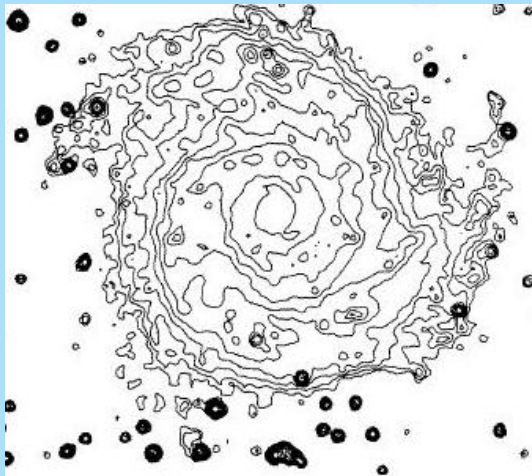
Due to line-of-sight integration truncations should more difficult to detect in **face-on** galaxies than in edge-on ones.

The **expected surface brightness** at 4 scalelengths is about **26 B-mag arcsec⁻²** or close to sky.

Also one has to be aware of azimuthal averaging. A good example for illustration is **NGC 628**.¹⁶

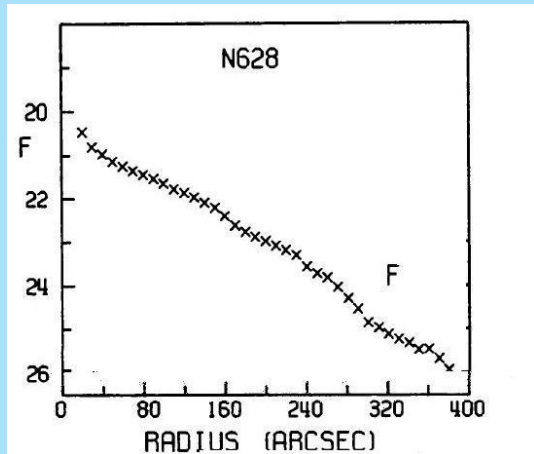
¹⁶Wevers, van der Kruit & Allen, A.&A.Suppl. 66, 505 (1986), van der Kruit, A.&A. 192,117 (1988)

The isophote map shows that the outer contours have a much **smaller spacing** than the inner ones.

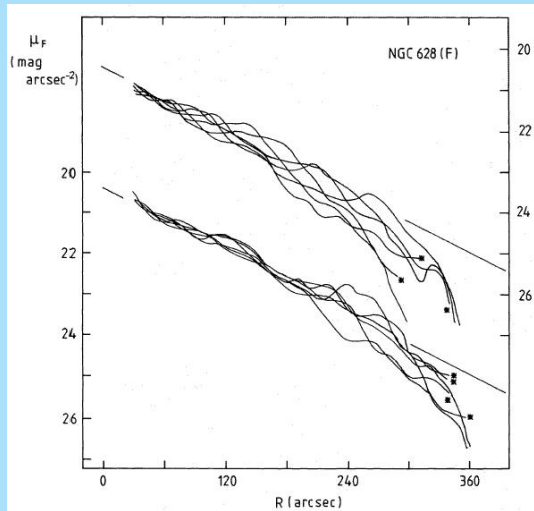


The usual procedure is to derive the inclination and major axis from kinematics and then proceed to make an azimuthally averaged radial surface brightness profile.

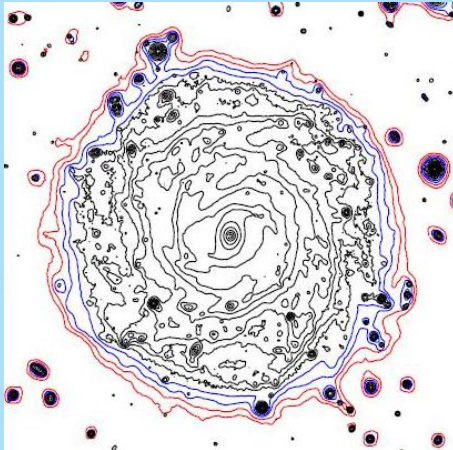
This smoothes out the sharp decline.



It can be recovered by averaging in smaller sectors.



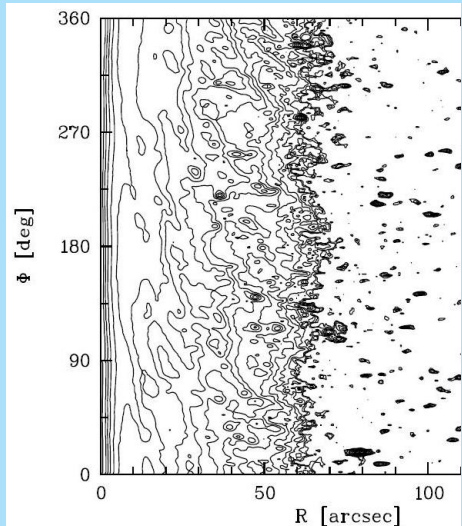
The effects is seen here as well in the **red** and **blue** contours of **NGC 5923**.¹⁷



¹⁷Pohlen, Dettmar, Lütticke & Aronica, A.&A. 392, 807 (2002)

Here is the same data in
polar coordinates.

The irregular outline
shows that some
smoothing out will occur
contrary to observations
in edge-on systems.



The work of Pohlen and collaborators

Extensive work has been performed by **Michael Pohlen and collaborators**.

- ▶ A sample of **moderately inclined** systems¹⁸ has been studied through ellipse-fitting of isophotes in SDSS data.
- ▶ A few **edge-on** systems¹⁹ have been studied using a decomposition technique.

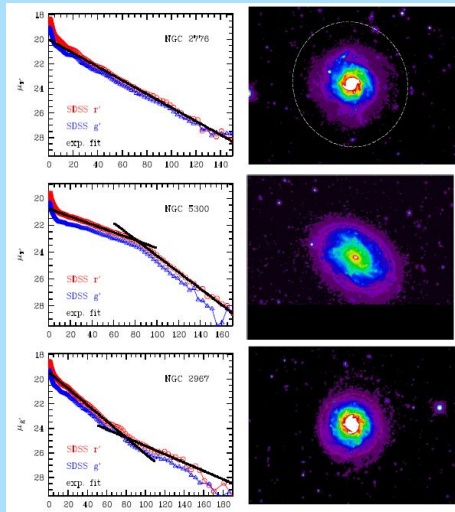
¹⁸Pohlen & Trujillo, A.&A. 454, 759 (2006)

¹⁹Pohlen, Zaroubi, Peletier & Dettmar, MNRAS 378, 594 (2007)

Pohlen & Trujillo distinguish
three types of truncations:

- ▶ Type I: no break
- ▶ Type II: downbending break
- ▶ Type III: upbending break

The ones with an upbending break, however, almost all have indications for interactions or mergings in their outer parts.



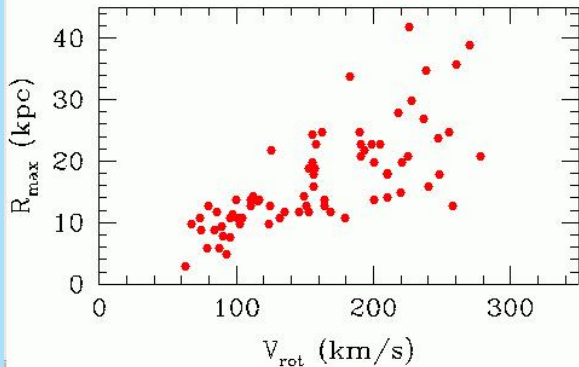
R_{\max} versus V_{rot} and R_{\max}/h versus h

We look at the correlation between the **truncation radius** R_{\max} and the **rotation velocity** R_{rot}

We will do this only for **edge-on** galaxies.

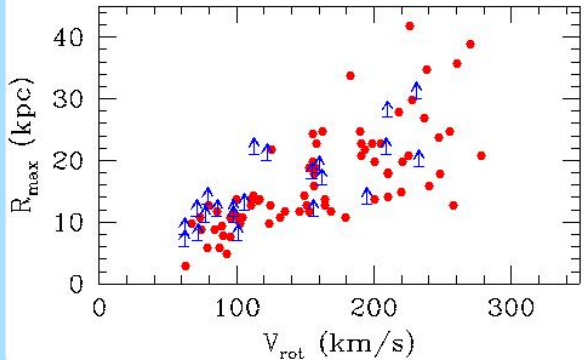
Here is the data from various **edge-on** samples.

All the data on edge-on galaxies thus gives a reasonably **well-defined correlation**.



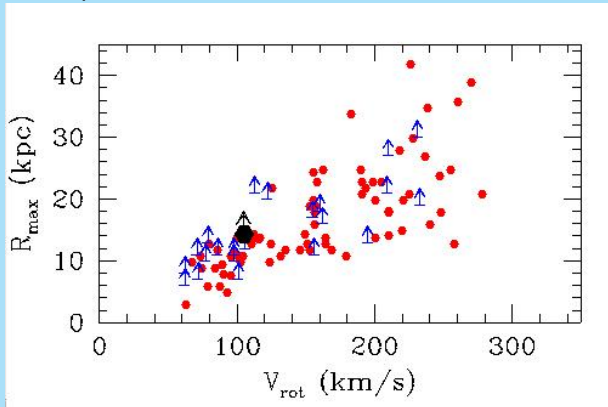
Now include the lower limits to the truncation radius from the same samples.

This does not change the picture much.



For the interest I add the point for **NGC 300**²⁰.

Although this disk extends to at least **10** scalelength it is still not outside the distribution observed in edge-on systems.

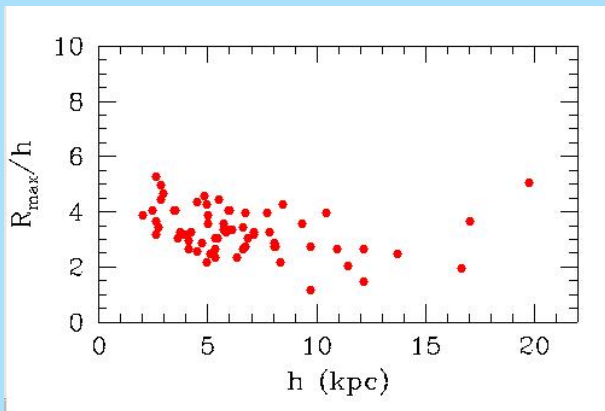


²⁰Bland-Hawthorn et al., Ap.J. 629, 249 (2005)

We may also look at R_{max}/h versus h .

Here is the data for all edge-on galaxies.

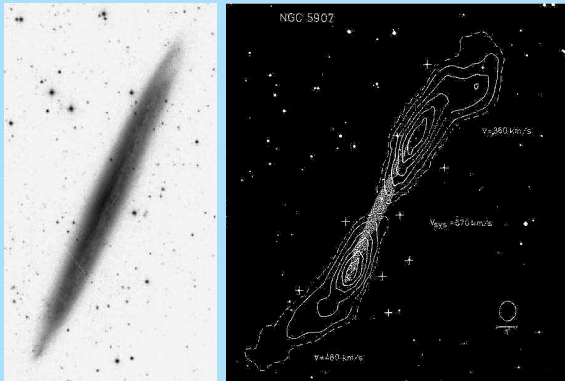
Note the absence of the **anti-correlation** predicted by Schaye.



Warps in HI-disks

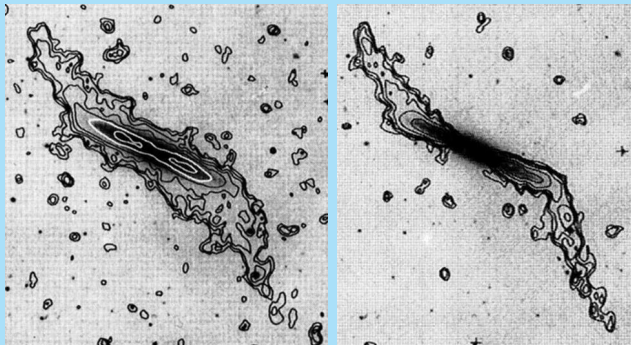
Observations of HI-warps

- ▶ Warps in the HI in external galaxies are most readily observed in **edge-on systems** as **NGC 5907**²¹.



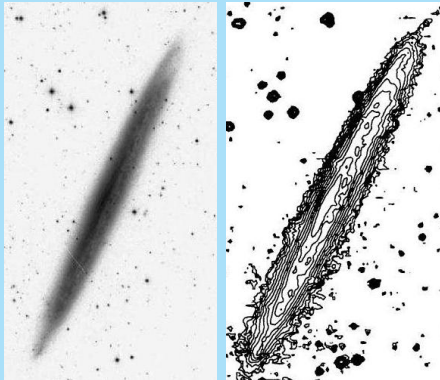
²¹R. Sancisi, A.&A. 74, 73 (1976)

- ▶ An extreme example is “prodigious warp” in **NGC 4013**²².
- ▶ The warp is very **symmetric** and starts suddenly near the **end of the optical disk** (see the **extreme channel maps on the left**).



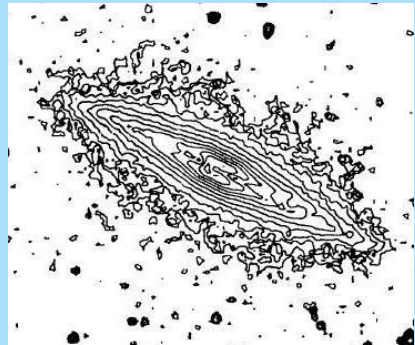
²²R. Bottema, G.S.Shostak & P.C. van der Kruit, Nature 328, 401 (1987);
R. Bottema, A.&A. 295, 605 (1995) and 306, 345 (1996)

- ▶ It is interesting to note that the **NGC 5907** has a clear and sharp truncation²³ in its stellar disk, where also the warp starts.



²³P. C. van der Kruit & L. Searle, *op. cit.*

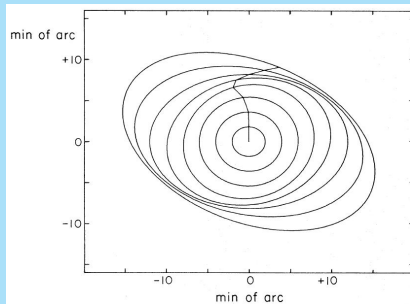
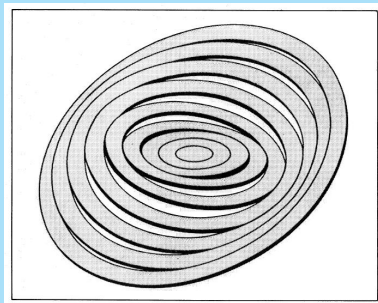
- ▶ **NGC 4013** also has a clear truncation²⁴ in its stellar disk. The three-dimensional analysis²⁵ does confirm that in deprojection the warp struts **very close to the truncation radius**.



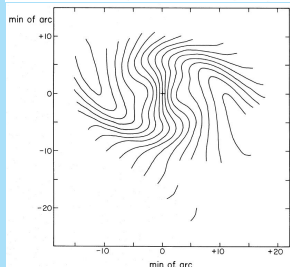
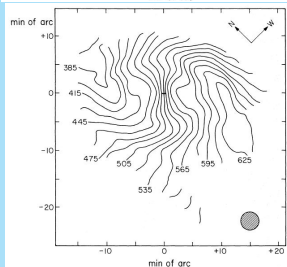
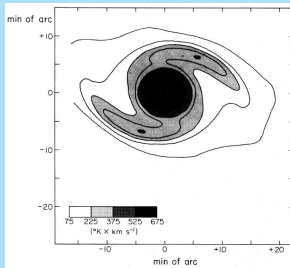
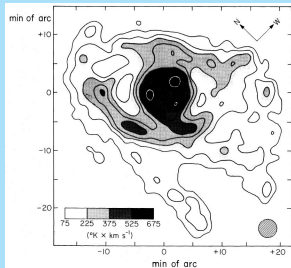
²⁴P. C. van der Kruit & L. Searle, *op. cit.*

²⁵R. Bottema, *op. cit.*

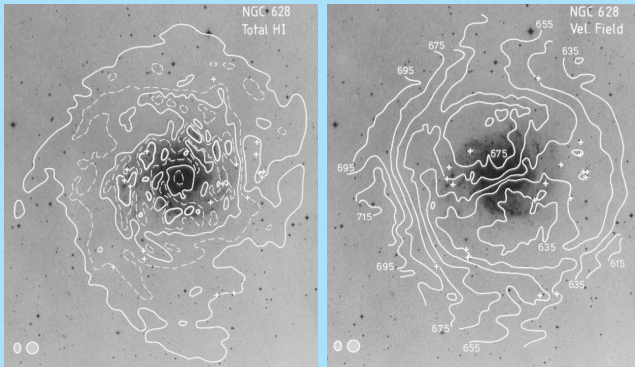
- ▶ Warps were already seen in less inclined systems, such as **M83**²⁶.
- ▶ These “**kinematic warps**” were fitted with so-called “**tilted-ring models**”.



²⁶D.H. Rogstad, I.A. Lockhart & M.C.H. Wright, Ap.J. 193, 309 (1974)

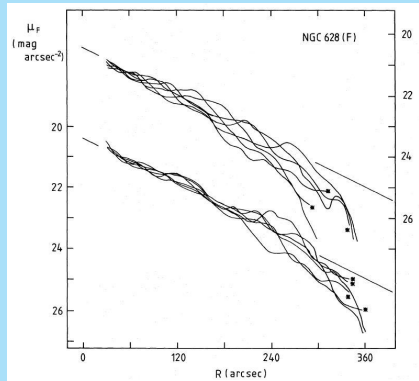
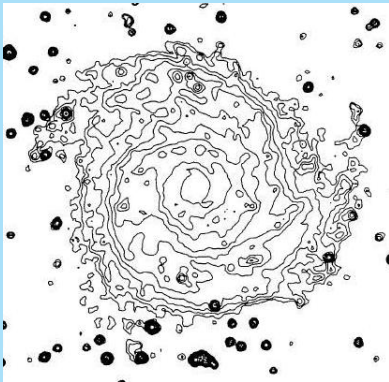


- ▶ NGC 628 is almost completely face-on.
- ▶ The HI-velocity field shows a complicated pattern, that shows that in the tilted-ring model the rings actually go **through the plane of the sky**²⁷.



²⁷G.S. Shostak & P.C. van der Kruit, A.&A. 132, 20 (1984)

- ▶ The radial **luminosity profiles**²⁸ show evidence for a truncation.
- ▶ This truncation coincides with the **onset of the warp**.



²⁸G.S. Shostak & P.C. van der Kruit, *op. cit.*; P.C. van der Kruit, A.&A. 192, 117 (1988)

Disks are very flat.

First we look at **stellar disks**.

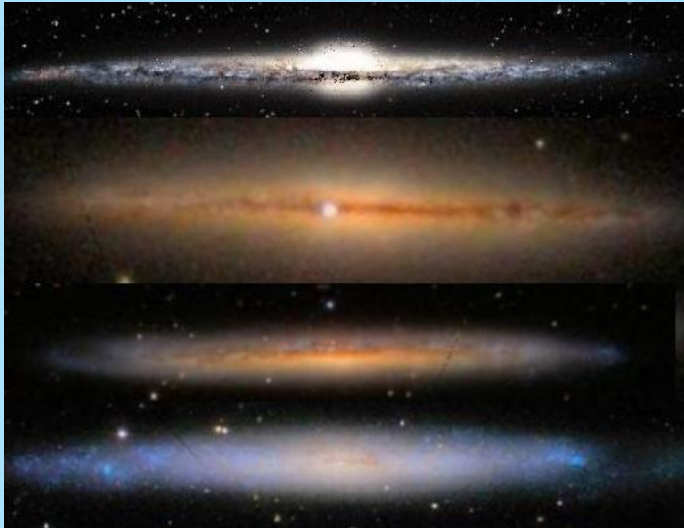
Here are a few edge-on galaxies.

Note from the dustlanes that the disks are **very** flat.



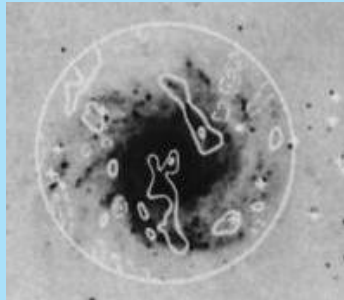
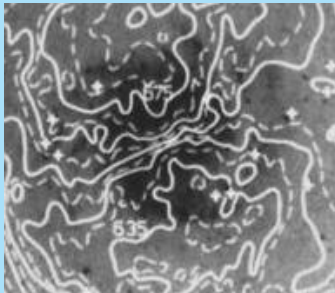








The **residual** velocity field in the inner parts of **NGC628** after subtraction of the rotation field, has an r.m.s. velocity of only **(3-4) km/s**.



A vertical velocity of **4 km/s** corresponds in the Solar Neighborhood to an amplitude of **45 pc**, so this shows also that disks are **very flat**.

Systematics of HI-warps

- ▶ Briggs²⁹ formulated a set of **rules of behaviour** for HI-warps.

RULES OF BEHAVIOR FOR GALACTIC WARPS

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ABSTRACT

A sample of galaxies is now available for which H I 21 cm line observations allow the development of detailed kinematic models based on concentric, circular rings with adjustable inclinations and orbital velocity. By examining these warped systems in a variety of reference frames, clear empirically determined “rules” for the behavior of galactic warps have emerged.

Analysis of 12 galaxies with extended, warped H I disks show the following:

1. The H I layer typically is planar within $R_{2.5}$, but warping becomes detectable within $R_{H_0} = R_{2.6.5}$. Warping within R_{H_0} appears consistent with a common (i.e., straight) line of the nodes (LON) measured in the plane defined by the innermost regions of the galaxies.

2. Warps change character at a transition radius near R_{H_0} .

3. For radii larger than R_{H_0} , the LON measured in the plane of the inner galaxy advances in the direction of galaxy rotation for successively larger radii. Thus, the nodes lie along leading spirals in this frame of reference.

4. The galaxy kinematics uniquely specify a new reference frame in which there is a common LON for orbits within the transition radius and also a *differently oriented* straight LON for the gas outside the transition radius. This new reference frame is typically inclined by less than 10° to the plane of the inner galaxy.

The lack of a common LON throughout the entire warped disk argues against models that rely on normal bending modes to maintain warp coherence at all radii. Instead, the emerging picture may require galaxy models with two distinct regimes. Behavior in the outer regime is consistent with models that have the LON regressing most rapidly for orbits that are in closest proximity to the flat, stellar disk. In the inner regime, the disk may be settling into a warped mode.

²⁹F.H. Briggs, Ap.J. 352, 15 (1990)

- ▶ The most important aspects of Brigg's rules for the present discussion are:
 1. The HI layer typically is **planar within R_{25}** , but warping becomes **detectable near $R_{Ho} = R_{26.5}$** .
 2. Warps **change character** at a transition radius near R_{Ho} .
 3.
 4. The outer warp defines a **reference frame**.
- ▶ The onset of HI-warps seems to be at about the radius of the truncation in the stellar disk
- ▶ This might mean that the inner stellar disk formed first with a truncation and the HI in the warp fell in later with another orientation of the angular momentum.

The García-Ruiz et al. sample

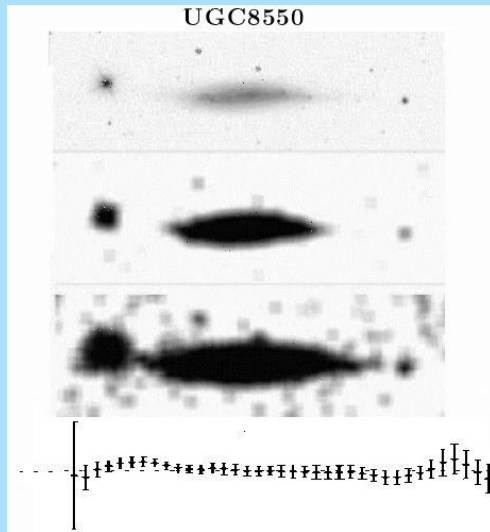
The García-Ruiz et al. sample

- ▶ Inigo García-Ruiz³⁰ presented **HI observations** of a sample of edge-on galaxies (“**Hunting for warps**”).
- ▶ His sample consisted of **26 edge-on galaxies** in **WHISP**³¹.
- ▶ At least **20** show evidence for an HI warp.
- ▶ Unfortunately, the **optical surface photometry** could not be calibrated.
- ▶ So I used the **Sloan Digital Sky Survey (SDSS)** to see if there are truncations and if so, where are the warps start w.r.t. to these.

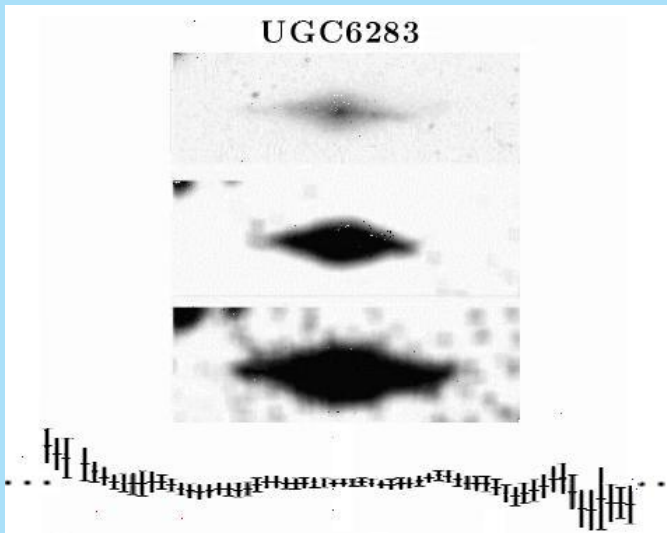
³⁰Ph.D. Thesis, University of Groningen (2001); see also I. García-Ruiz, R. Sancisi & K.H. Kuijken, A.&A. 394, 796 (2002)

³¹Westerbork observations of neutral **H**ydrogen in **I**rrregular and **S**Piral galaxies; www.astro.rug.nl/whisp/.

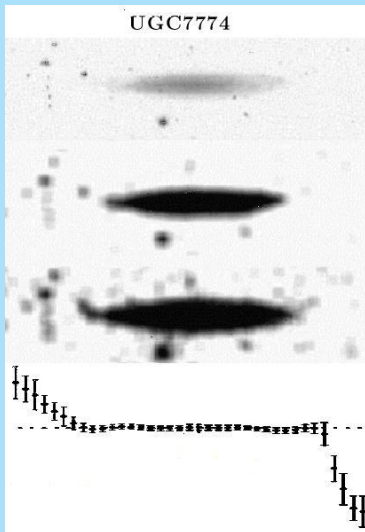
UGC 8550: No truncation and no warp



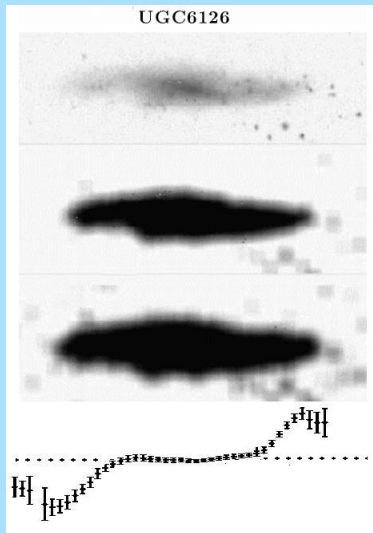
UGC 6283: No truncation, warp at larger radius.



UGC 7774: Truncation and a warp starting at R_{max} .



UGC 6126: Truncation and a warp starting at a radius $< R_{max}$.



- ▶ Summarizing we have:³²
 - ▶ For 4 galaxies the HI does not extend beyond the optical radius; of these 2 have a truncation.
 - ▶ There is 1 galaxy with no truncation and no warp.
 - ▶ There are 3 galaxies with a warp, but no truncation; for one of these the warp starts beyond the optical radius.
 - ▶ There is 3 galaxies with a truncation, but no warp.
 - ▶ There are 12 galaxies with both a warp and a truncation.
- ▶ The galaxies in the second, third (except the one with a warp at large distance) and fourth item could be examples where the warp is too close to the line of sight.

³²P.C. van der Kruit, A.&A. 466, 883, 2007.

The observed distribution of the ratio R_{warp}/R_{max} and that for a random distribution of viewing angles for three values of the “real” ratio (p from Kolmogorov-Smirnov).

$\frac{R_{warp}}{R_{max}}$	#	$R_{warp} = 1.0R_{max}$	$R_{warp} = 1.1R_{max}$	$R_{warp} = 1.2R_{max}$
1.2	1	–	–	3.3
1.1	2	–	3.5	2.5
1.0	2	3.6	2.6	1.7
0.9	3	2.7	1.8	1.4
0.8	2	1.9	1.5	1.3
0.7	2	1.6	1.4	1.2
–	6	8.1	7.2	6.6
p		0.706	0.963	0.538

The conclusion is that the observations are most consistent with a situation, where all warps star at about $1.1 R_{max}$.

Discussion

I. Properties of warps

- ▶ **Properties of warps** can be summarized as follows:
 - ▶ All galaxies with extended HI disks have warps ([García-Ruiz et al.](#)).
 - ▶ Many galaxies have relatively sharp truncations ([van der Kruit & Searle](#); [Kregel & van der Kruit](#); [this study](#)).
 - ▶ In edge-on galaxies the HI warps sets in just beyond the truncation radius ([this study](#)), for less inclined systems it sets in near the Holmberg radius ([Briggs](#)).
 - ▶ In many cases the rotation curve shows a feature that indicates that there is at the truncation radius also a sharp drop in mass surface density ([Casertano, Bottema, this study](#)).
 - ▶ The onset of the warp is **abrupt and discontinuous** ([this discussion](#)) and there is a steep slope in HI-surface density at this point ([García-Ruiz et al.](#)).
 - ▶ Inner disks are extremely flat ([this discussion](#)) and the warps define a single “new reference frame” ([Briggs](#)).

Discussion

II. Origin of warps

- ▶ The inner disk (mostly stars) and the warped outer disk (mostly HI) are **distinct components**.
- ▶ They probably have **distinct formation histories, during different epochs**.
- ▶ Inner disks form initially and settle as **massive, rigid, flat structures**.
- ▶ Warps result from later infall with a different **orientation of the angular momentum**.
- ▶ The often regular structure of the warps and Brigg's new reference frame may result from re-arranging the structure from individual infalling gas clouds by **interactions with neighbours or with an intergalactic medium**.
- ▶ This is consistent with the model where truncations result from a **maximum specific angular momentum** in the material that formed the disk.

Discussion

Summary

- ▶ *Truncations are a common feature in edge-on stellar disks.*
- ▶ *The origin of truncations is most likely related to a maximum in the specific angular momentum in the material that formed the stellar disks, but such a model does probably require some redistribution of angular momentum.*
- ▶ *Stellar disks and their accompanying gas-layers are very flat.*
- ▶ *HI-warps start just beyond the truncation radius and stellar disks and HI-warps appear to be distinct components.*
- ▶ *This suggests that inner disks form initially and settle as rigid, very flat structures, while HI-warps result from later infall of gas with a different orientation of angular momentum.*